

**FLAT ACCELERATION SOURCE SPECTRUM  
IS AN ORDINARY PROPERTY  
OF STOCHASTIC SELF-SIMILAR EARTHQUAKE FAULT  
WITH PROPAGATING SLIP PULSE**

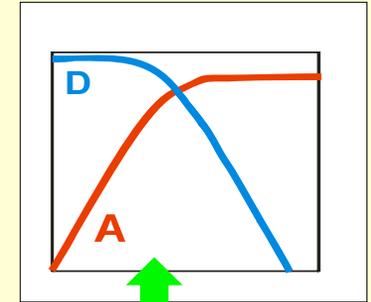
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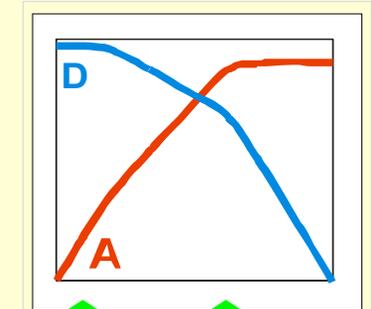
# The "Double Stochastic Fault Model" (DSFM) is proposed in order to explain 3 common properties of earthquakes:

- (1)  $\omega^{-2}$  ["omega-square"] shapes of (*displacement*) source spectra [Aki 1967; Brune 1970]  
[or, equivalently, **flat acceleration** source spectra [Brune 1970; Hanks&McGuire 1981]]
- (2) **two-corner** ( $\omega^0 - \omega^{-1} - \omega^{-2}$ ) source spectra (typical for larger magnitudes) [Brune 1970; Gusev 1983]
- (3) **frequency-dependent directivity** [e.g. Somerville 1999]

*these three properties are well-known,  
all three lack consistent theoretical explanation*



$f_c$

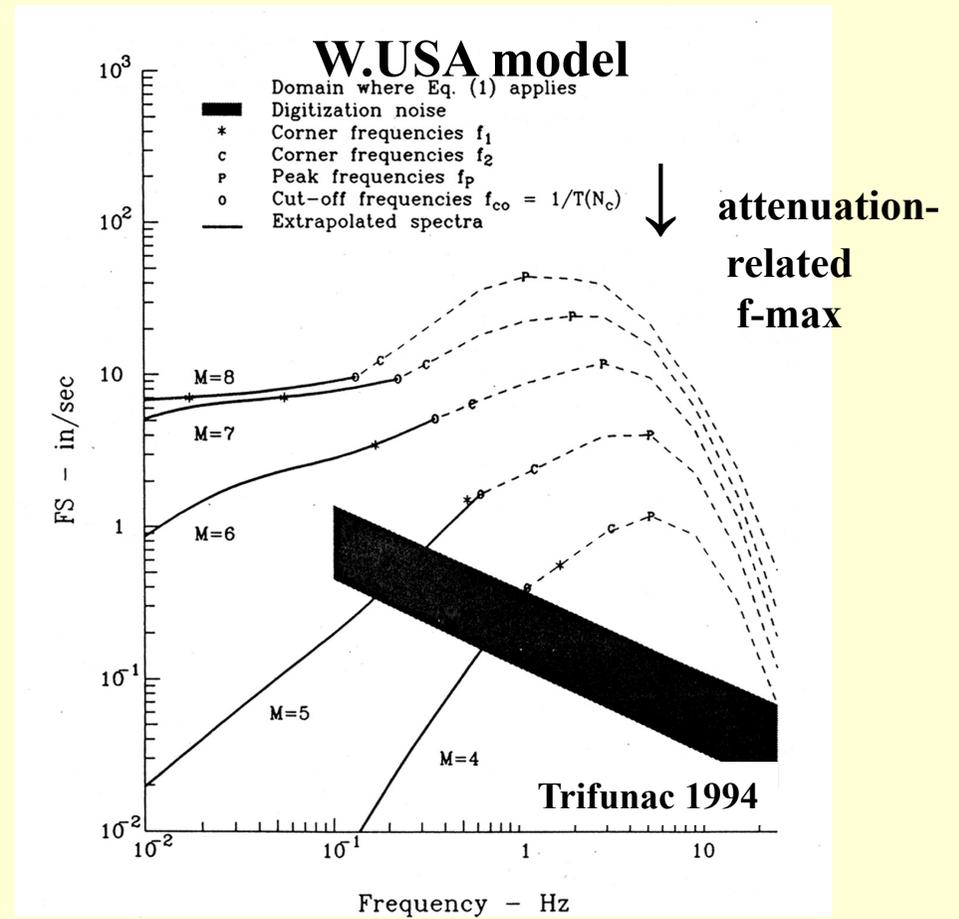
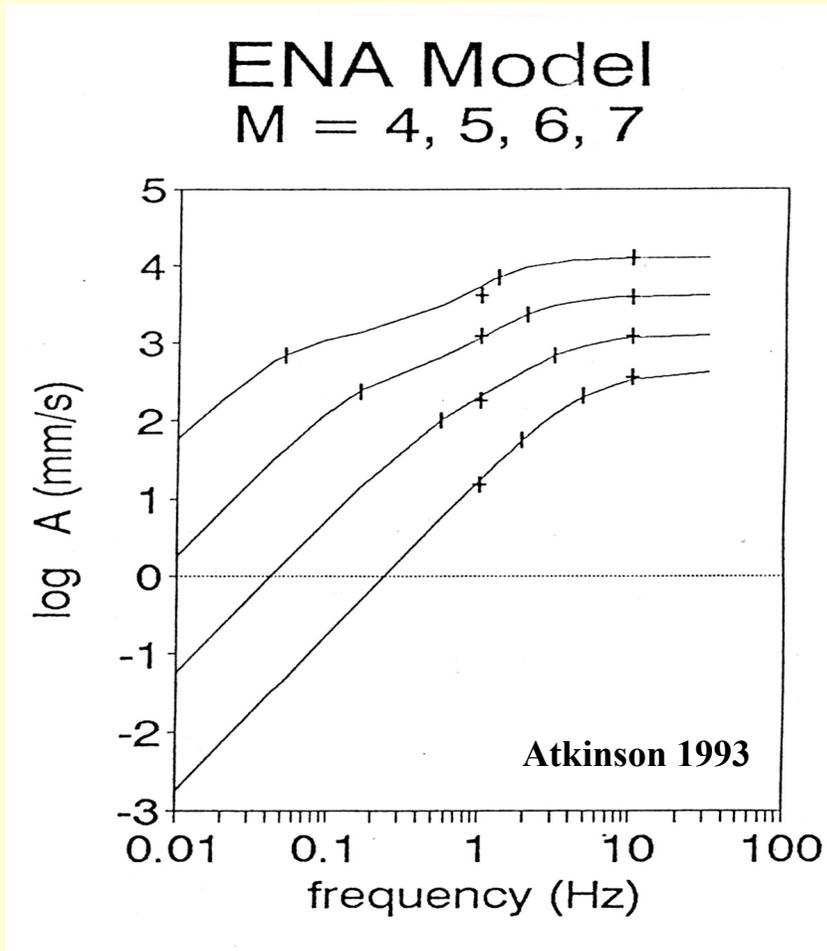


$f_{c1}$

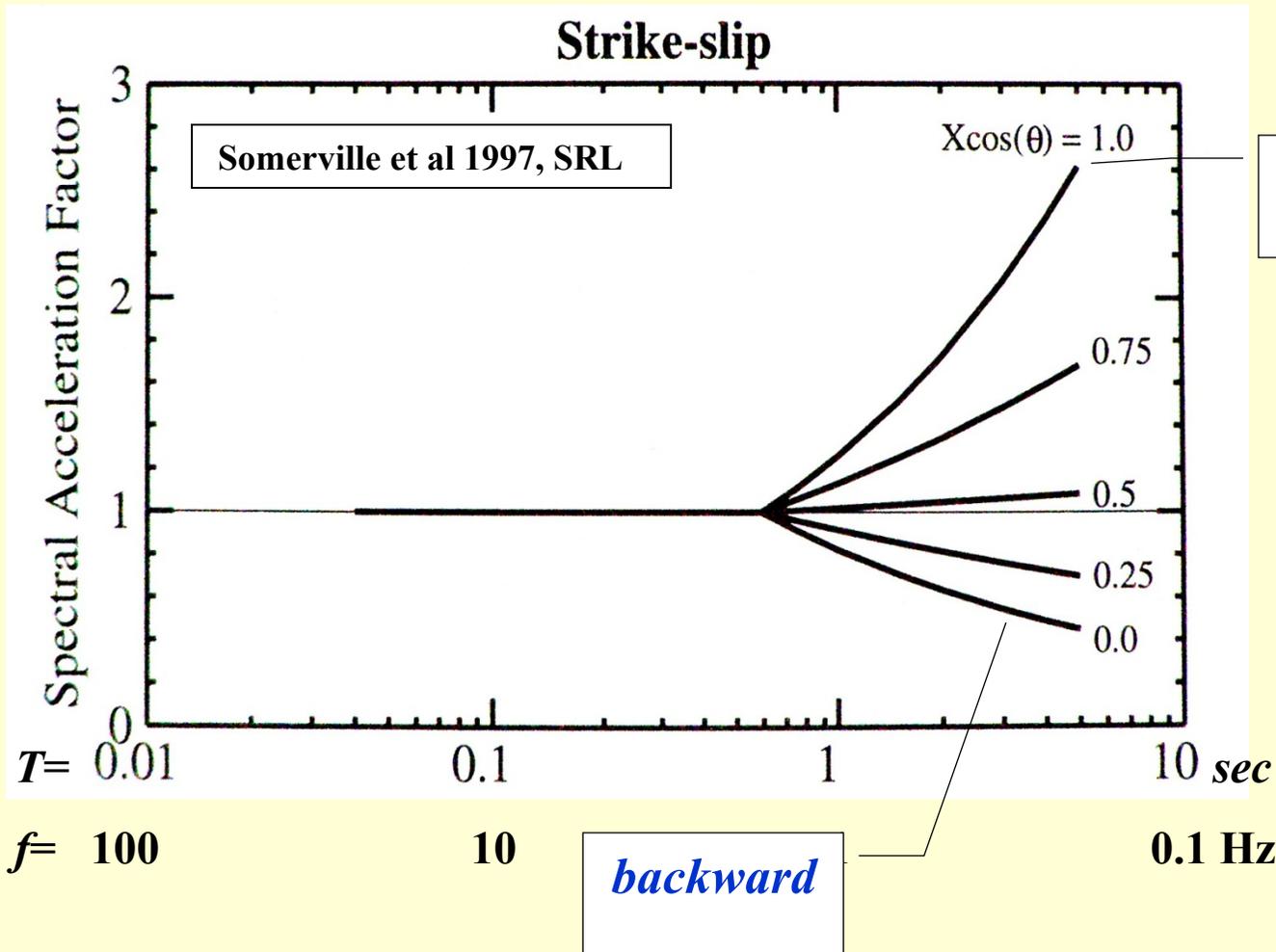
$f_{c2}$

*Empirical scaling laws for Fourier acceleration spectra, with flat HF part  
they approximate source acceleration spectral shapes*

**note clear two-corner spectral shapes  
with gap between corners increasing with magnitude**



# Period/frequency dependence of the average directivity factor of response-spectrum acceleration RSA (RSA $\approx$ peak of narrow-band-filtered acceleration) for a set of angles between forward direction of propagation and the ray to receiver

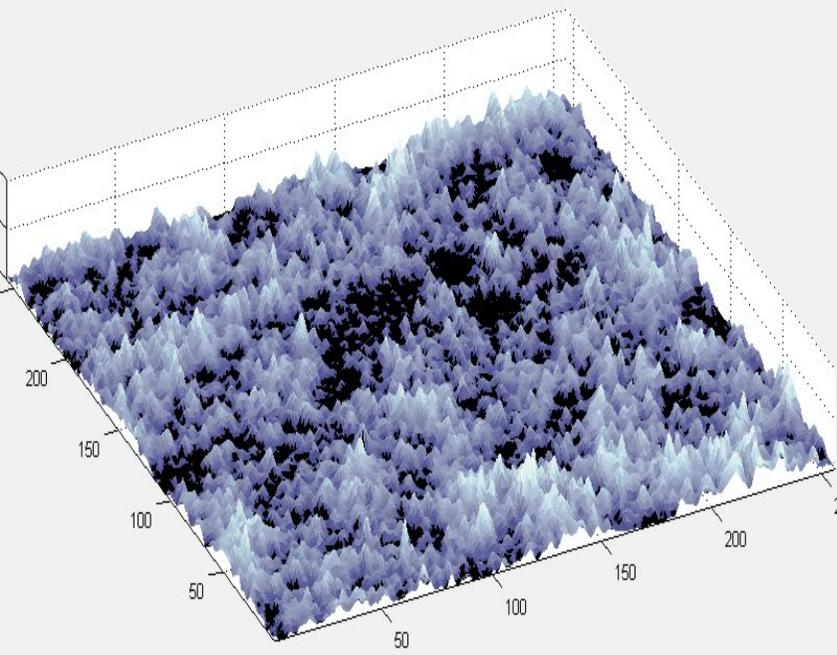


$X\cos(\theta)$ :  
X measures degree of  
unilaterality  
(0 for bilateral)  
theta is angular deviation  
from  
forward direction (0 to 180)

# Key components of DSFM

- 1. Final (local) stress drop field  $\Delta\sigma(x,y)$  on a fault :  
*random, fractal* [Andrews 1980]
- 2. Rupture-front structure **at high  $k$ , locally:**  
*random, fractal, disjointed, tortuous* [Gusev 2012];
- 3. Rupture-front propagation mode **at low  $k$ , [smoothed picture]:**  
*systematic*, following the concept of running **slip pulse**  
[Heaton 1990; Haskell 1964,1966]
- 4. Formation of seismic waves:  
according to the **fault asperity failure model**  
[Das&Kostrov 1983,1986; Boatwright 1988]

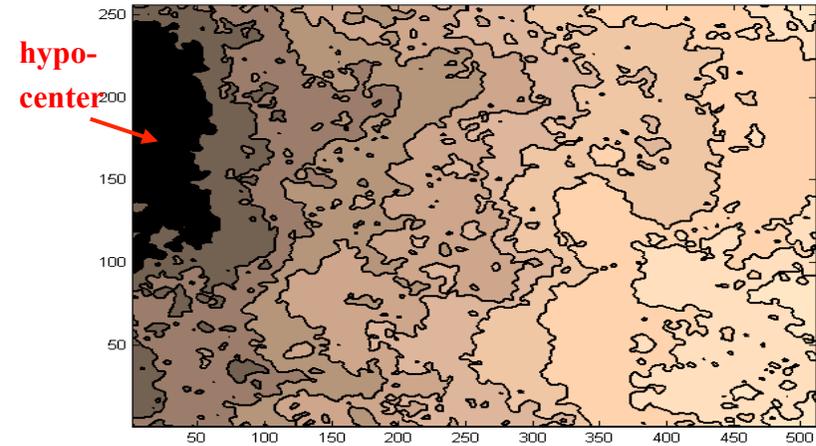
# The stochastic/random component #1 of the DSFM (*time-independent*) : local stress drop $\Delta\sigma(x, y)$ field [Andrews 1980]



$\Delta\sigma(x, y)$  is a random (assumedly isotropic) 2D field, defined through its power spectrum  $P(k)$ , or through mean amplitude spectrum  $S(k) \propto P^{0.5}(k)$  [Andrews 1980] ( $k=|\mathbf{k}|$ )

- $S(k)$  is power law ( $S(k) \propto k^{-\beta}$ ) [self-affine, or broad-sense self-similar or fractal behavior] [Andrews 1980]; and in particular:
- $\beta=1$  and  $S(k) \propto k^{-1}$  [narrow-sense self-similar behavior] [Andrews 1980]
- $\Delta\sigma(x, y)$  is rigidly tied to final dislocation/slip field  $D(x, y)$  [with spectrum  $\propto k^{-\beta-1}$ ]

The stochastic component #2 of the DSFM (defines space-time evolution):  
**local** rupture-front structure at high  $k$  [Gusev 2012]



*example isolines of  $t_{fr}(x, y)$*   
shading: the later, the lighter

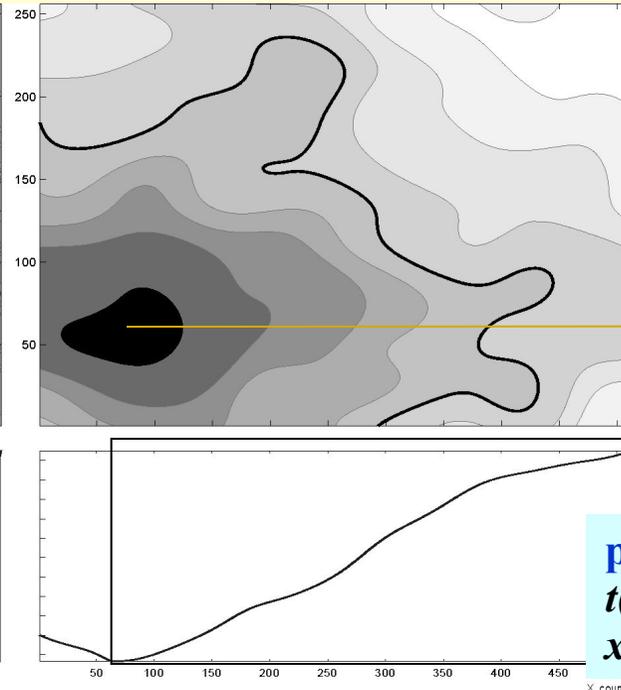
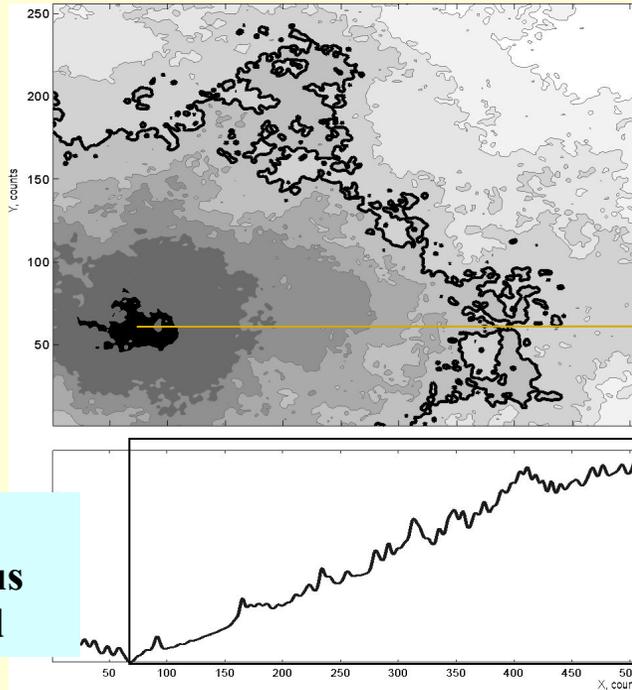
- defines **time history of rupture through rupture front arrival time**  
 $t_{fr}(x, y)$
- $t_{fr}(x, y)$  has “lacy” general appearance, with:
  - (a) tortuous or wiggling isolines
  - (b) fragmented, with “islands” and “lakes”
- $t_{fr}(x, y)$  can be represented as superposition of
  - (1) smooth [**low- $k$** ] global/“macroscopic” rupture propagation, with well-defined rupture velocity (*traditional element*); and
  - (2) random **high- $k$**  local/“microscopic” rupture propagation at random directions (*novel element; creates incoherence*)

$t_{fr}(x, y)$ : original,

$t_{fr}(x, y)$ : smoothed,

full  
 $k$ -spectrum  
isolines  
fragmented

low- $k$  part  
only  
isolines  
continuous



profile: yellow line:  
 $t(x)$  non-monotonous  
 $x(t)$  multiple-valued

profile: yellow line:  
 $t(x)$  monotonous  
 $x(t)$  single-valued

Creating  $t_{fr}(x, y)$

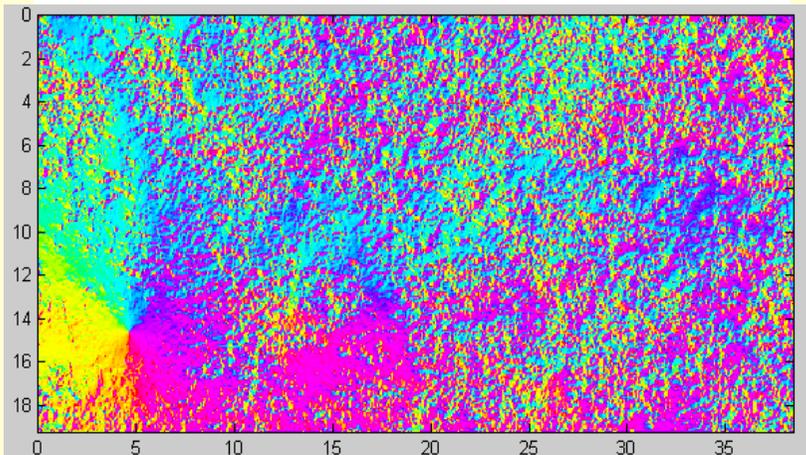
$$t_{fr}(x, y) = R(x, y) + S(x, y)$$

$R(x, y)$ : random self-similar, spectrum  $\propto 1/k^\delta$ ;  $\delta=1-1.5$ ;

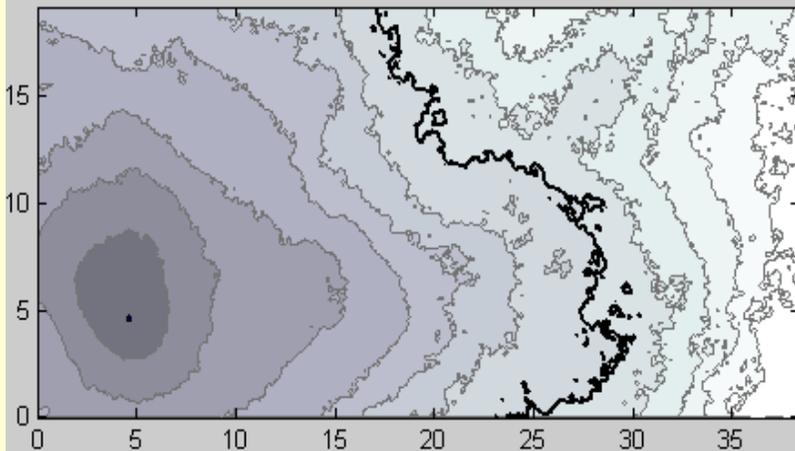
$S(x, y)$ : smooth; deterministic term with constant velocity + perturbation

The cause of incoherence is manifested in local rupture front orientation  
**Color code: local direction of rupture-front normal**

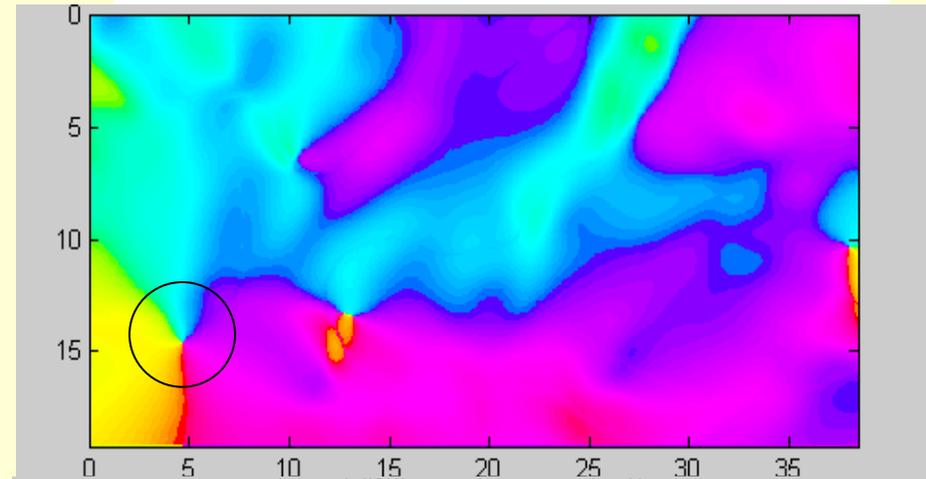
incoherent case (used in simulation)



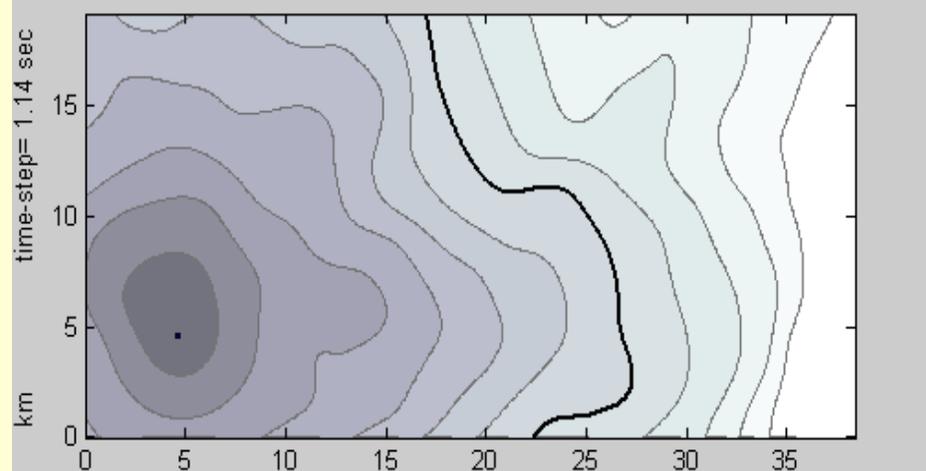
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6622 6624 || 0.060 1.200 |0.100 2.500 |0.050



coherent case (not used, example only)

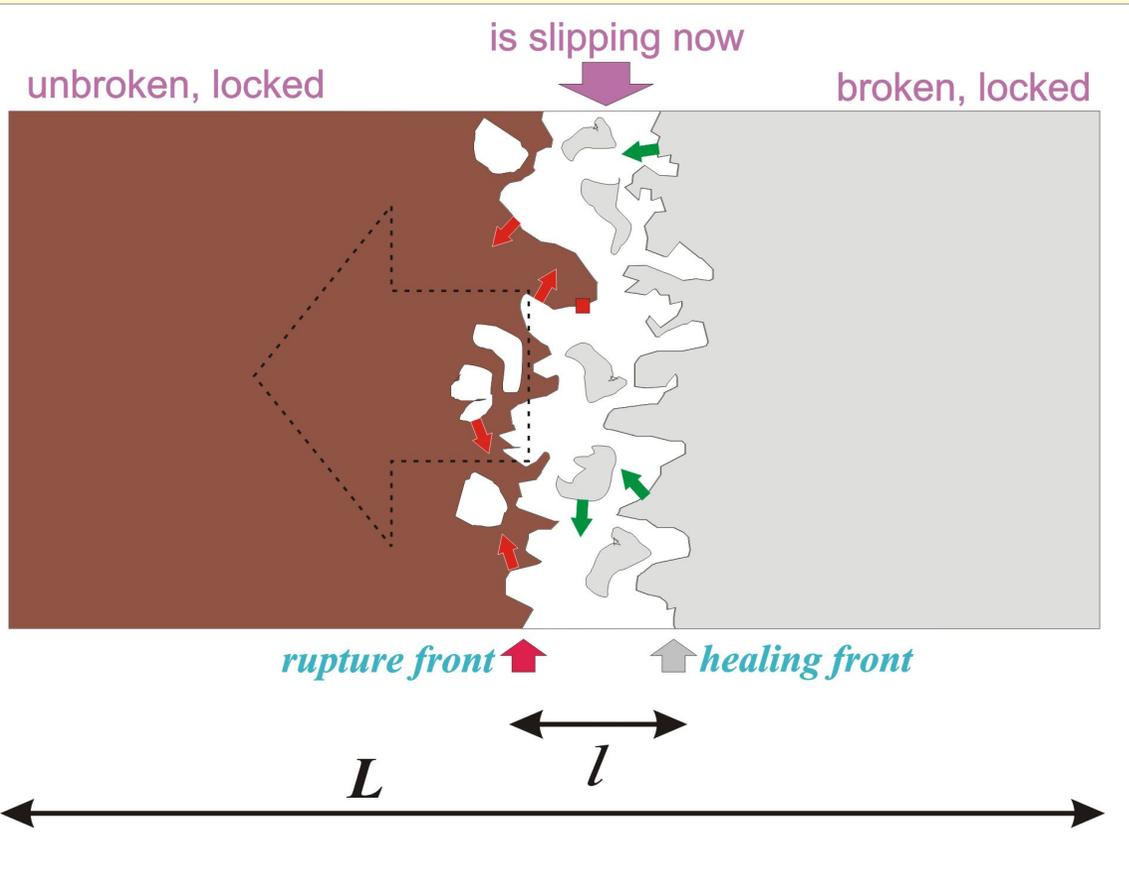


kstatetg/ts||CHfrw tgpowlpar\_ts tspow |ots\_hk=  
6622 6624 || 0.060 8.000 |0.100 2.500 |0.050



### Component #3 of the DSFM (non-stochastic):

”slip-pulse” rupture propagation, with **healing front** [Heaton 1990]



typical values of  $C_H$  :  
around 0.1 [Heaton 1990]

- detailing time history of rupture through **healing front** evolution
- introducing critical parameter “**relative pulse width**”

$$C_H = l/L$$

where:  $L$  is fault length;  
 $l$  is slip pulse width

in other terms

$$C_H = \frac{T_{rise}}{L/v_{rup}}$$

where:

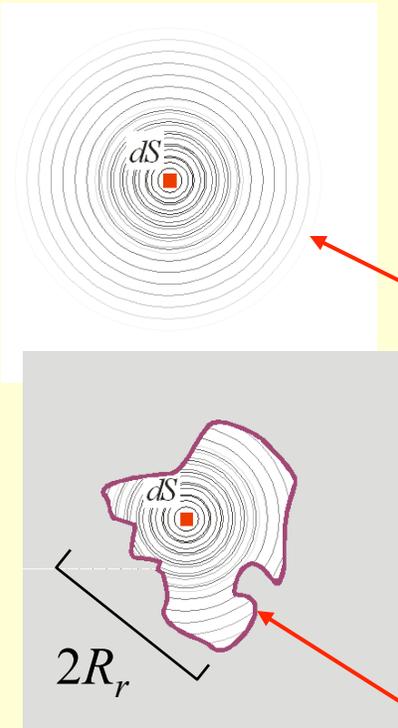
$T_{rise}$  is rise time;  
 $v_{rup}$  is (mean) rupture velocity;

# Component #4 of the DSFM: Using fault asperity failure theory

[Das&Kostrov 1983,1986; **Boatwright1988**] to describe body wave generation

Consider failing fault spot  $dS$  at position  $(x, y)$  on a fault  $\Sigma$  surrounded by a region of negligible cohesion: infinite (*Case 1*) or finite, size  $2R_r$  (*Case 2*). Rupture front arrives to  $dS$  at time  $t_{fr}$ .

For far-field  $SH$  body wave, consider velocity time history on along-normal ray:  $\dot{u}^{SH}(\xi, t + R/c_S)$



**Case 1:** infinite fault 
$$\dot{u}^{SH,\infty}(\xi, t + R/c_S) = A\Delta\sigma(x, y)\delta(t - t_{fr}(x, y))dS$$
  

$$\dot{u}^{SH,\infty}(\xi, t + R/c_S) = A\int_{\Sigma} \Delta\sigma(x, y)\delta(t - t_{fr}(x, y))dS$$

**Fault-guided waves (P, inhomogeneous **S** and **R**) go to infinity**

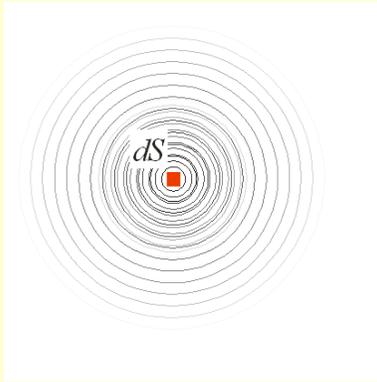
**Case 2:** finite fault 
$$\dot{u}^{SH}(\xi, t + R/c_S) = G(t) * A\Delta\sigma(x, y)\delta(t - t_{fr}(x, y))dS$$
  

$$\dot{u}^{SH}(\xi, t + R/c_S) = G(t) * A\int_{\Sigma} \Delta\sigma(x, y)\delta(t - t_{fr}(x, y))dS$$

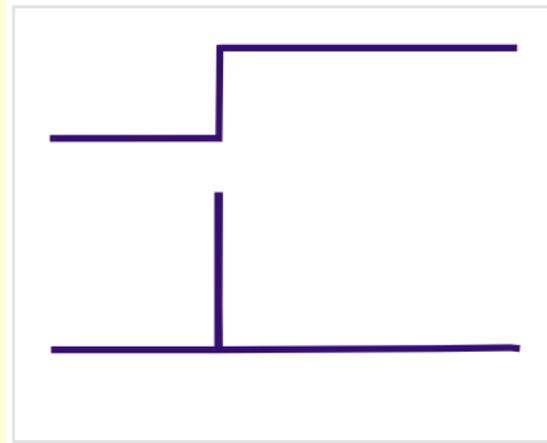
with specific  $G(t)=G(t,x,y)$ , of zero integral and of duration on the order  $2R_r/c_R$

**Fault-guided waves (P,S and R) diffract/transform to regular body waves at the boundary and die off**

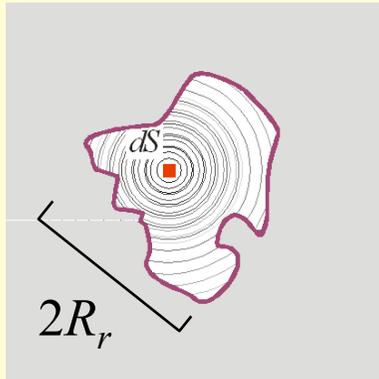
# Fault asperity failure theory, continued: far field body waves



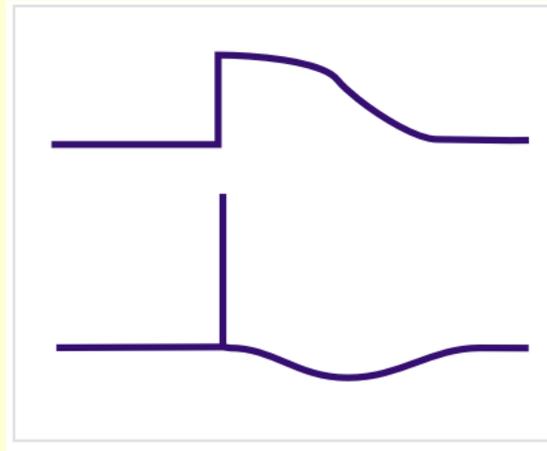
displacement  
velocity



Case 1: infinite fault with asperity  $dS$   
infinite seismic moment



displacement  
velocity



Case 1: finite fault with asperity  $dS$   
seismic moment is on the order  
 $dM_0 = 2R_r dF$   
where  $dF$  is **seismic force**  
 $dF = \Delta\sigma(x, y) dS$

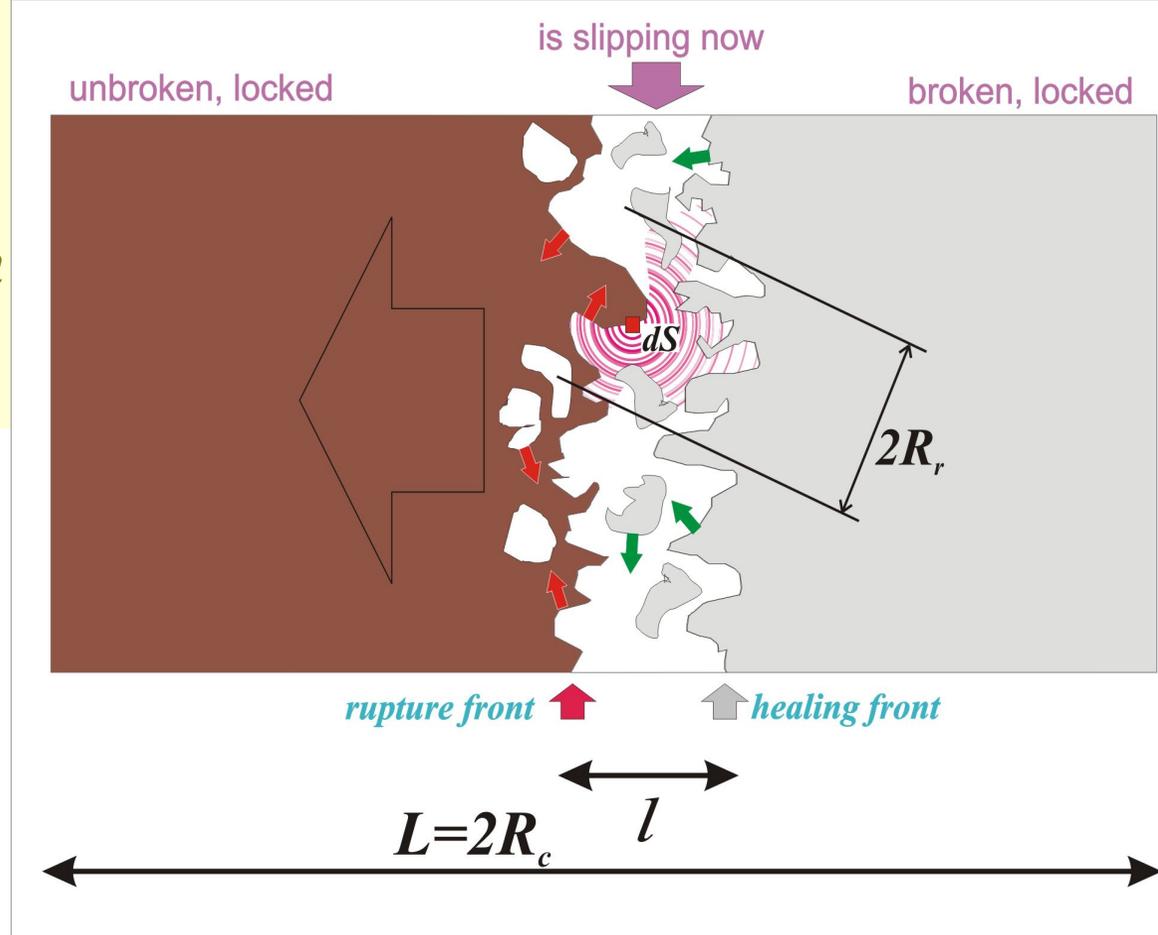
*Note that abruptness of pulse front causes formation of accurately  $\omega^{-1}$  factor to source spectrum*

*Key assumption  
is made here  
to justify the application  
of Das-Kostrov theory:*

a low-cohesion spot (of size  $2R_r$ )  
**can be associated**  
with a piece of slip-pulse strip  
(of width  $l$ )

and corresponding sizes  
are close one to another:

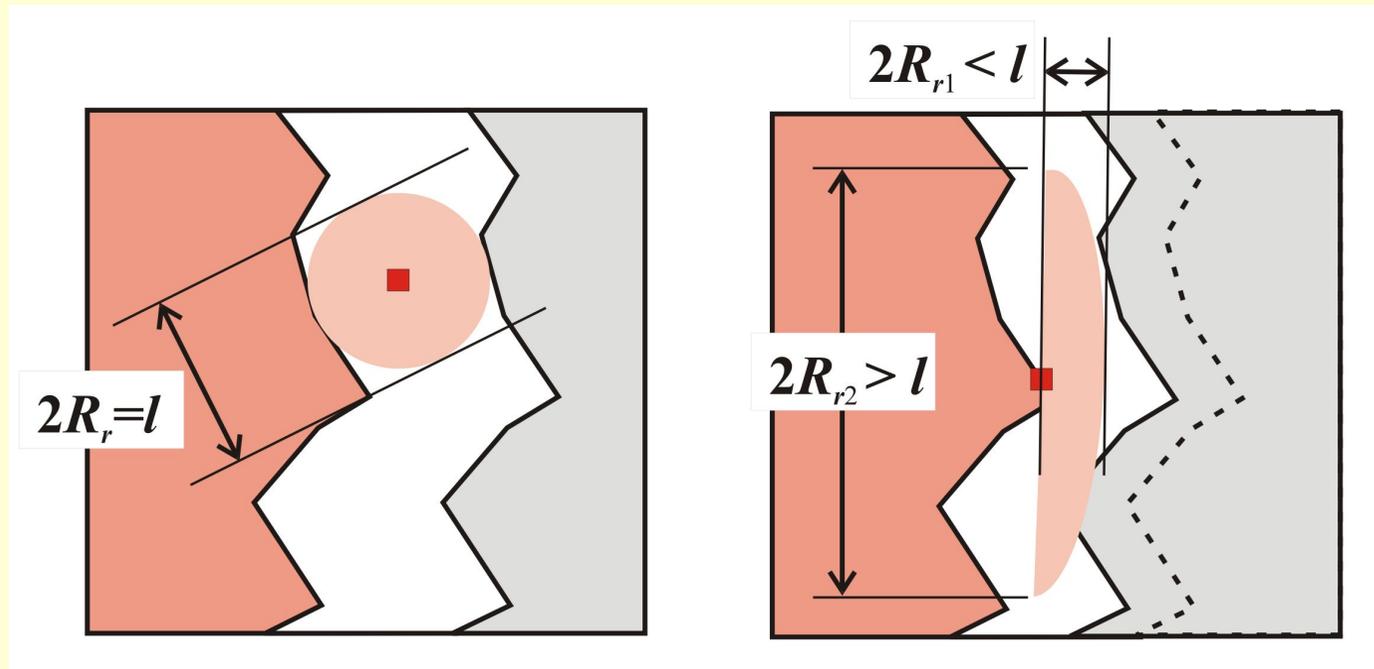
$$2R_r \approx l$$



For each rupture front element  $dS$ ,  
there is an individual, corresponding low  
cohesion/slipping patch

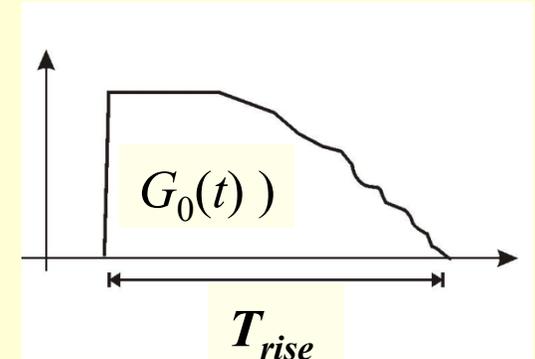
# Two possible adjustments may be needed; both ignored in further simplified simulation

- Along-front size of slipping spot **above**  $l$ :  
as there is more free space for along-fault waves to propagate
- Across-width size of slipping spot **below**  $l$ :  
as the healing front does not stand and approaches at comparable velocity



# More simplifications adopted in simulation:

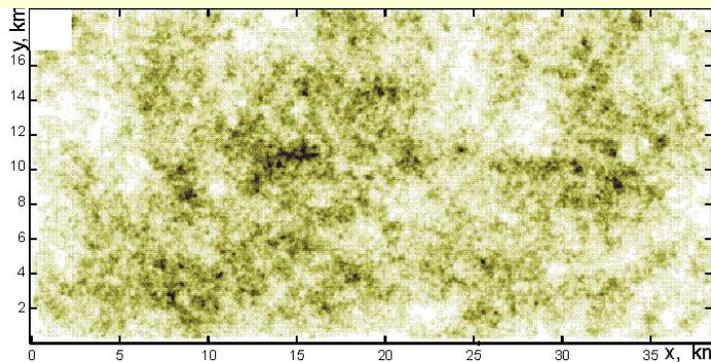
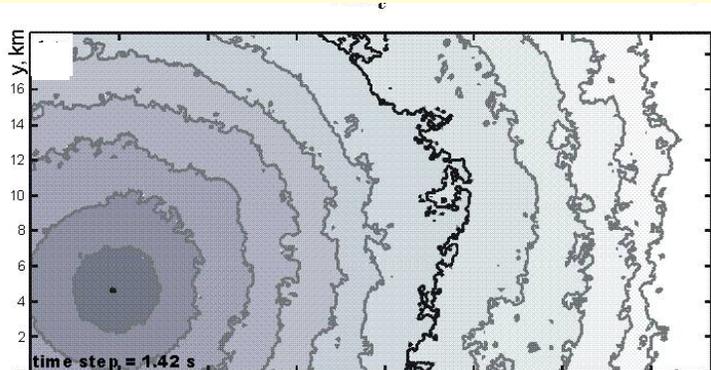
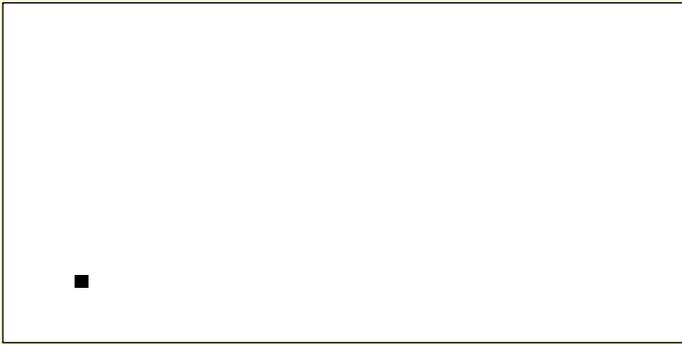
- Stress *drops instantly* at the arrival of rupture front (slip-weakening distance / cohesion length: very small)
- Only *SH waves* are considered
- $T_{rise}$  or  $l$  vary only weakly over fault area
- Function  $G(t,x,y)$  is identical for all fault spots (  $G(t,x,y) = G_0(t)$  )



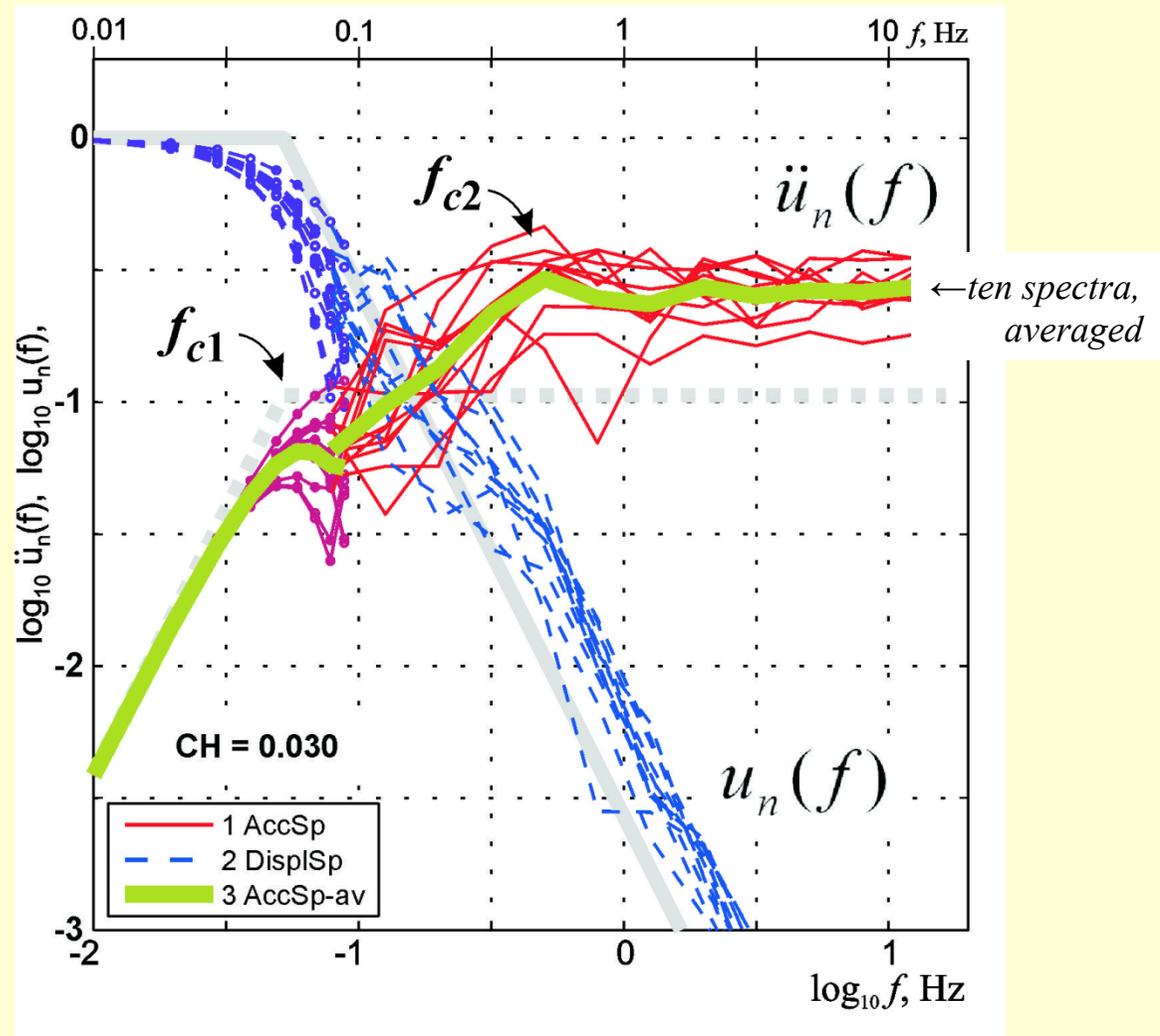
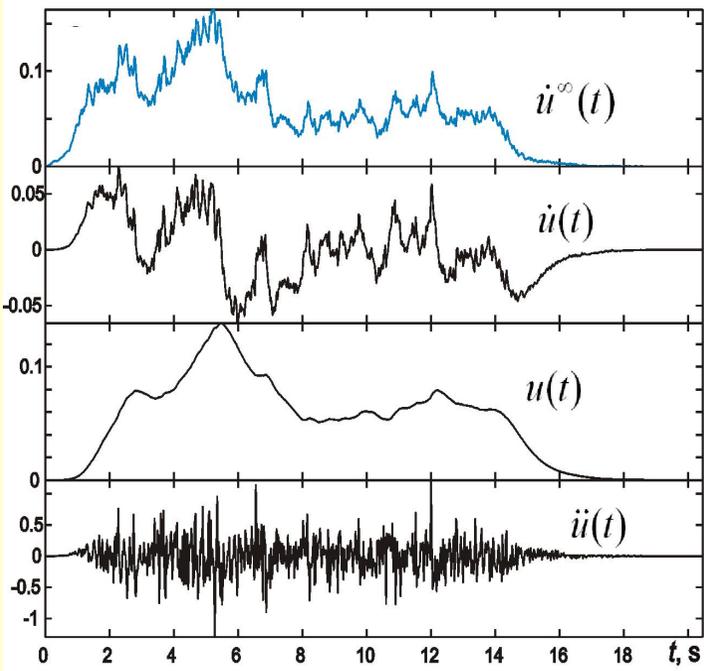
# Simulation stages

the accepted parameter values in parentheses

- (a) select source rectangle ( $38 \times 19$  km), nucleation point etc
- (b) set control parameters:  
 $\beta$  (1.0),  $C_H$  (0.06),  $CV_{\Delta\sigma}$  (0.8),  $\delta$  (1.4);
- (c) generate sample random fields  
 $t_{fr}(x, y)$  and  $\Delta\sigma(x, y)$ ;
- (d) calculate time functions at a receiver for the cases of infinite and finite fault
- (e) determine normalized displacement spectrum and associated acceleration spectrum



# Simulation: example signals and spectra

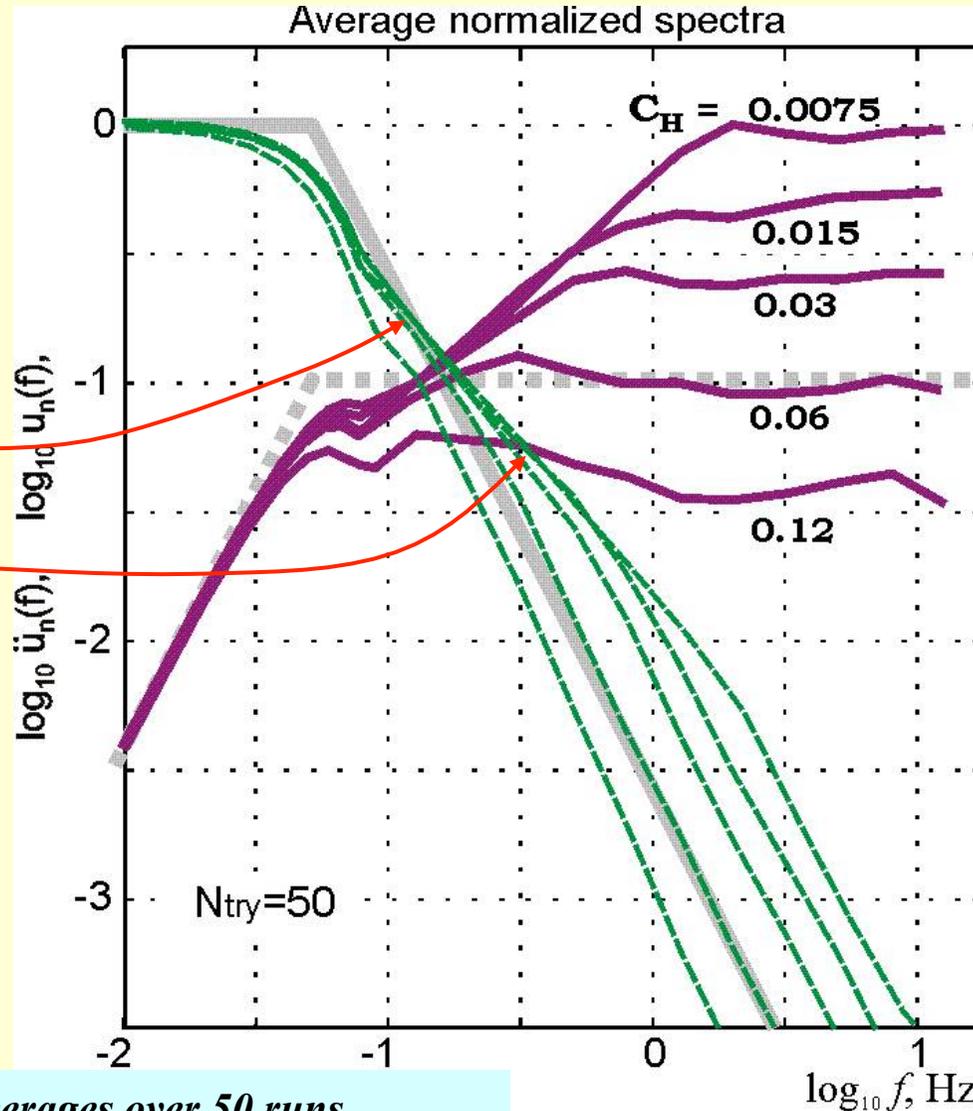


- Receiver position is assumed to be positioned at the along-normal ray

# Simulation: how spectral shapes depend on $C_H=l/L$

Receiver position is assumed at along-normal ray

“ $\omega^{-1}$ ”  
intermediate  
slope



shown: averages over 50 runs

} clear 2-corner shapes  
at  $C_H \leq 0.05$

} apprx. classical  
“omega-square” at  
 $C_H \approx 0.06-0.10$

**Example scaling:**  
of  $f_{c2}$  and  
of HF spectral level  $A_{HF}$ :

$$f_{c2}/f_{c1} \approx 0.2/C_H$$

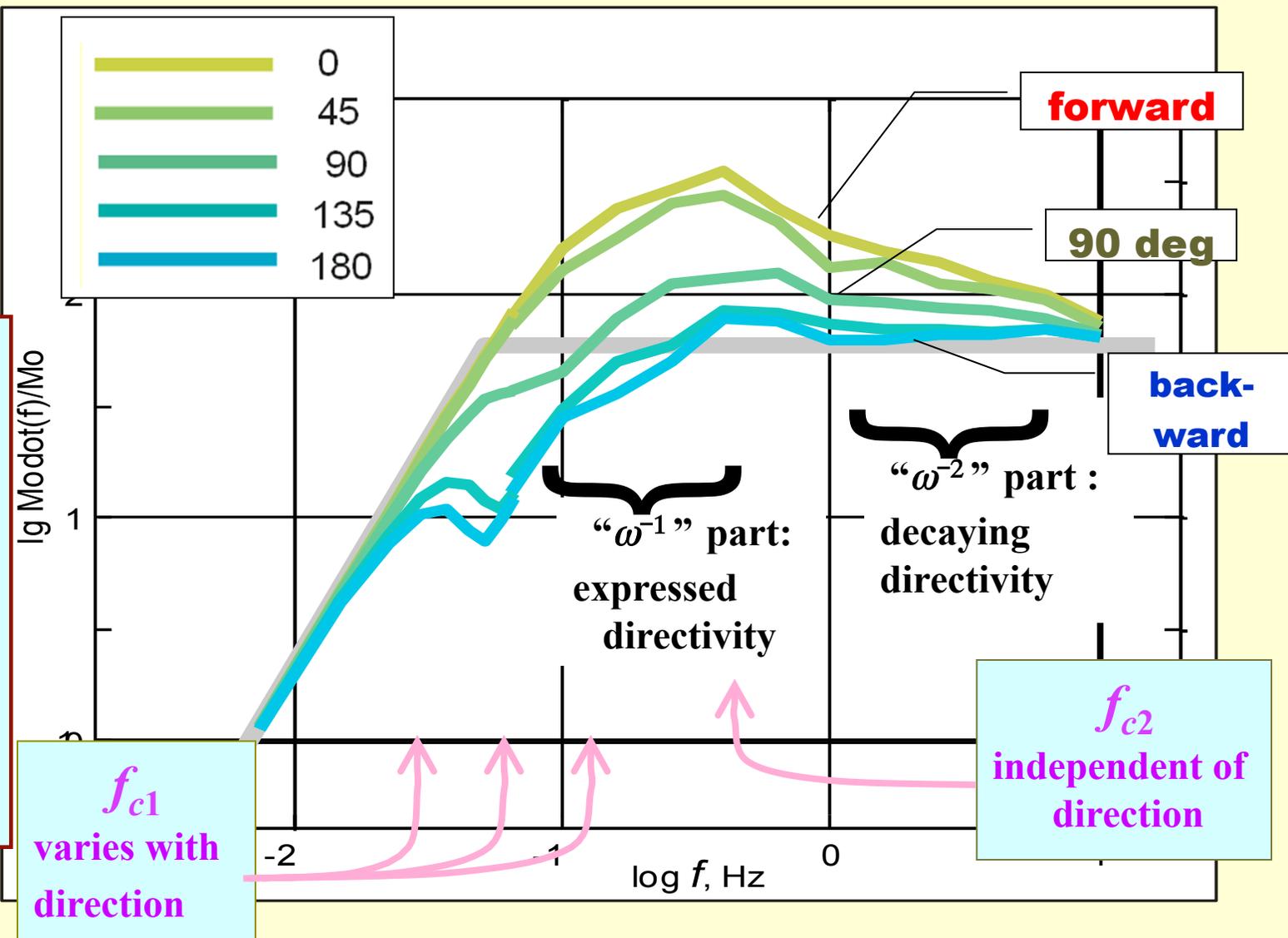
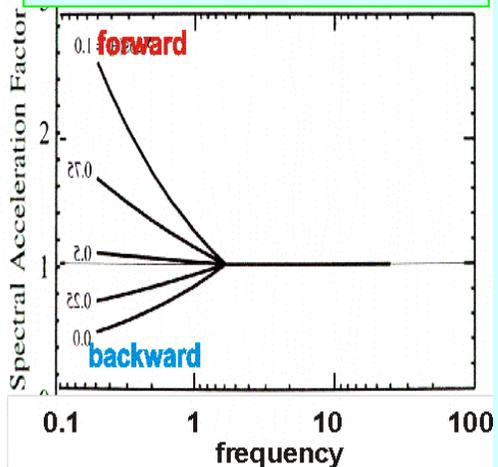
$$A_{HF}/A_{1HF} \approx 0.063/C_H$$

# Simulation: spectral shapes depending on receiver position w.r.t. mean rupture propagation direction; note frequency-dependent directivity

$$v_{rup}/c_s = 0.85$$

$$M \approx 6.7-6.9$$

Observed, M=6-7  
Somerville et al 1997, SRL



# Conclusions

- **1. The proposed approach permits to reproduce, through numerical modeling, the following observed features of radiated earthquake waves:**
  - $\omega^{-2}$  HF spectral slope;
  - 2-corner spectral shapes, and
  - frequency-dependent directivity (high at LF, low at HF)
- **2. To achieve this result, “double stochastic fault model” is proposed, that incorporates two self-similar/fractal structures, one in spatial domain, and another in space-time domain**
- **3. The presented model is kinematic and numerical. It is however versatile and can be adapted for practical strong motion simulation**