

Shear and tensile earthquakes caused by fluid injection

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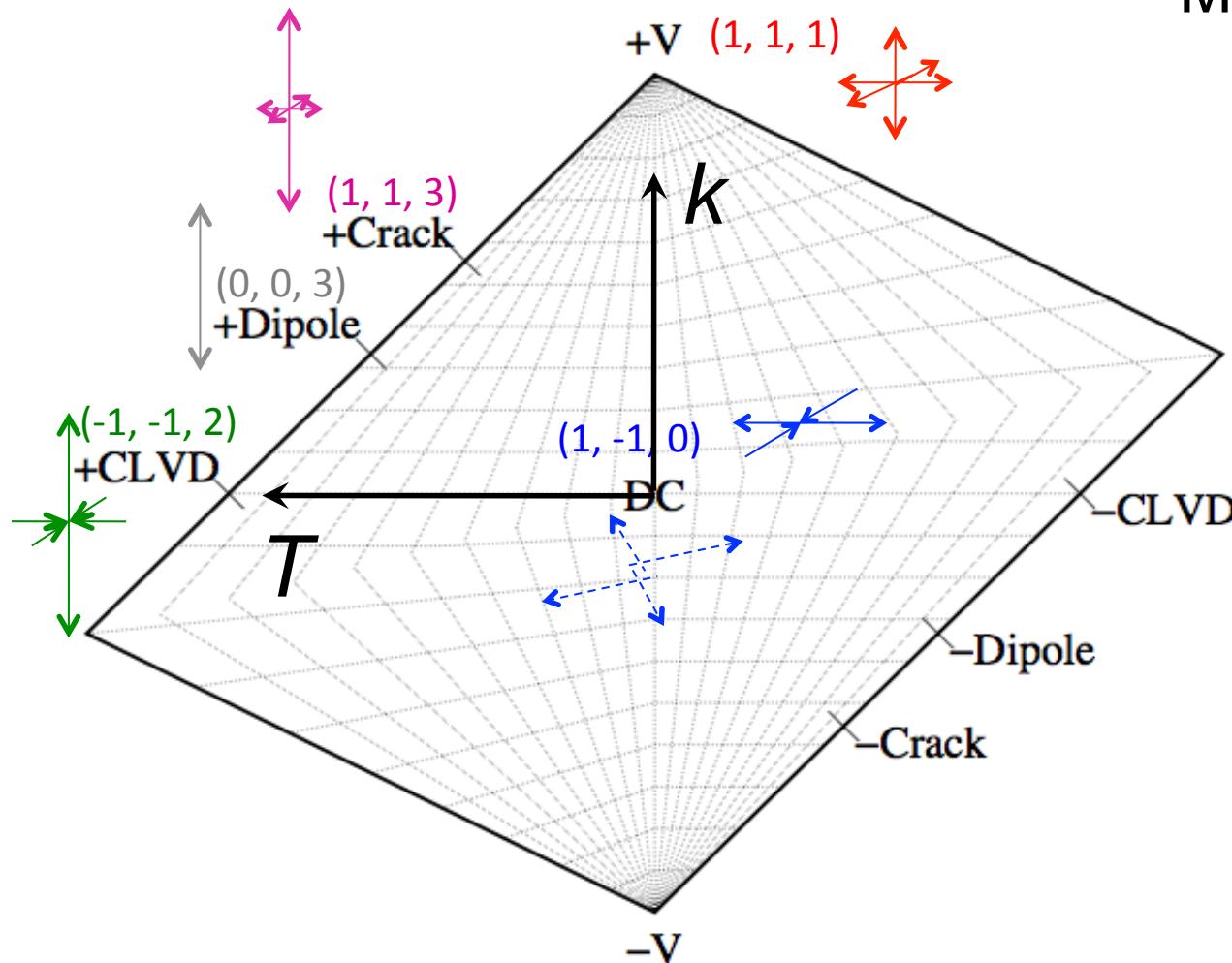
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Outline

- Moment tensors of swarms and injection-induced earthquakes
- Moment tensor of Tensile earthquake
- Stress conditions for shear and tensile failure
- Differential stress and depth
- Application on data – Soultz-sous-Forêts, Cotton Valley

Source-type plot (Hudson, 1989)



MT eigenvalues (abs)
 $M'_1 < M'_2 < M'_3$

Isotropic part :

$$k = \frac{\text{tr}(M)}{|\text{tr}(M)| + |M'_3|}$$

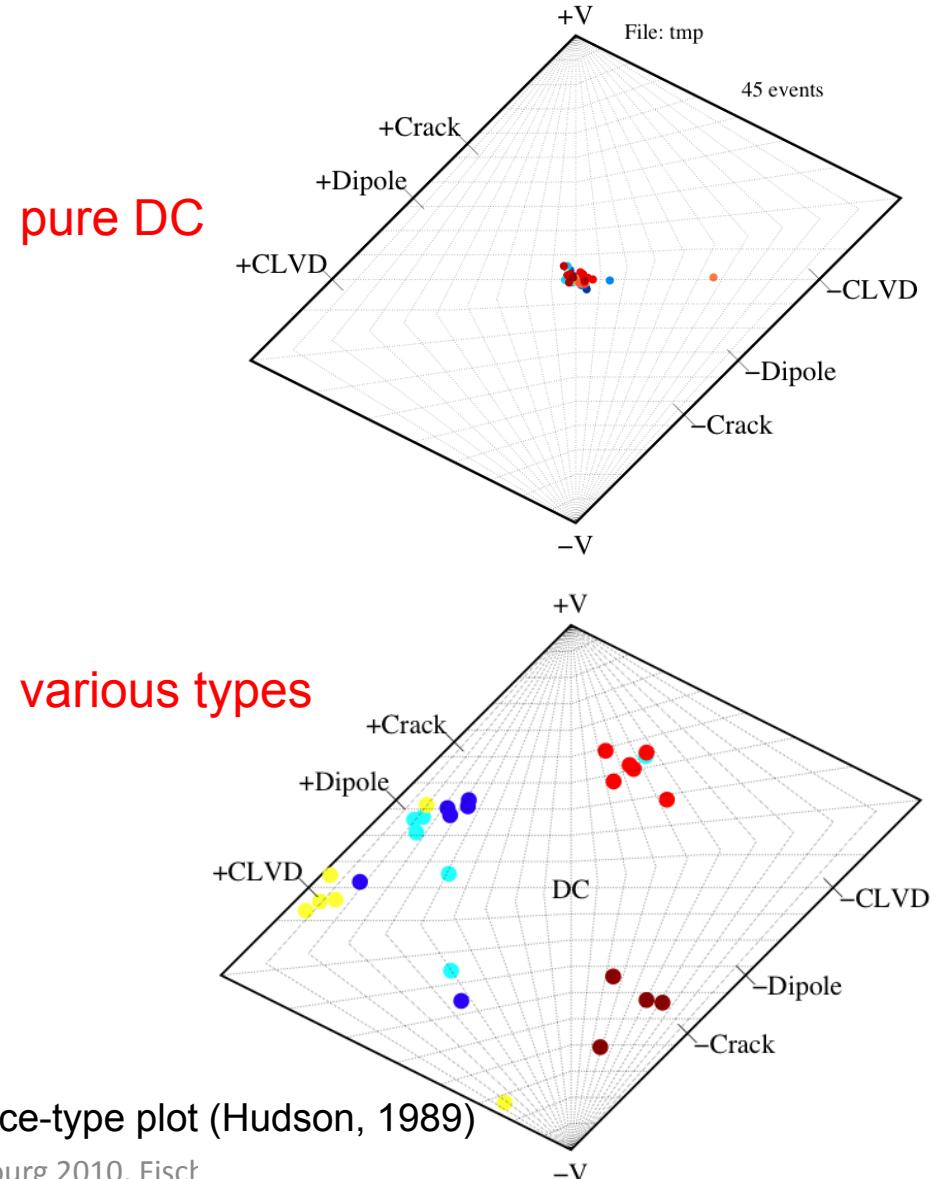
CLVD part :

$$T = 2 \frac{M'_1}{|M'_3|} = -2\varepsilon$$

Moment tensors of injection-induced microearthquakes

Soultz-sous-Forets
geothermal field
(Horálek et al., 2010)

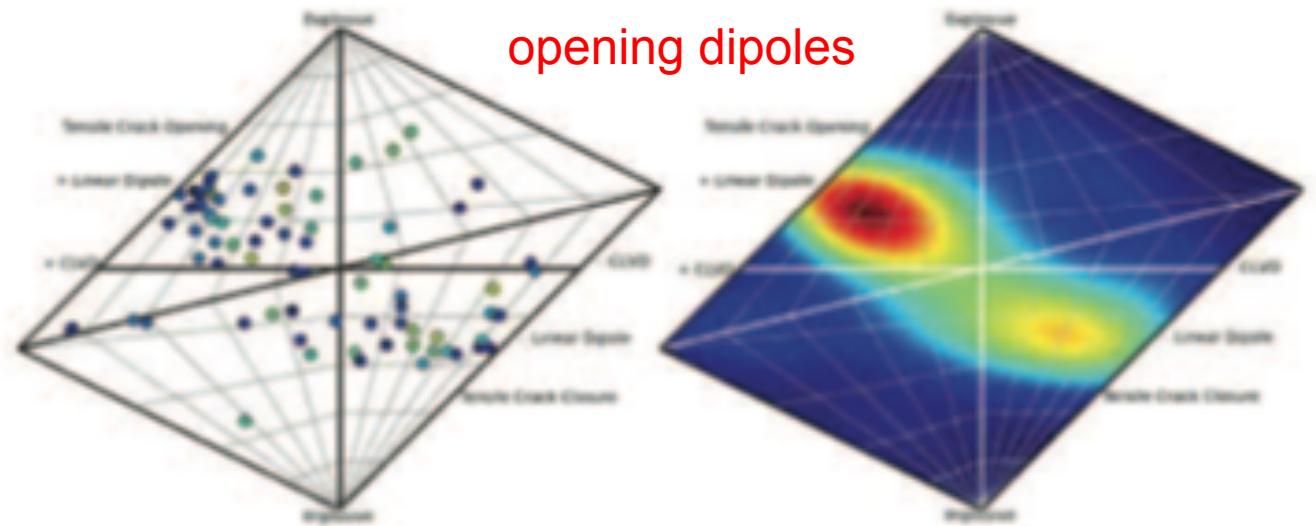
Cotton Valley, gas field
(Šílený et al, 2008)



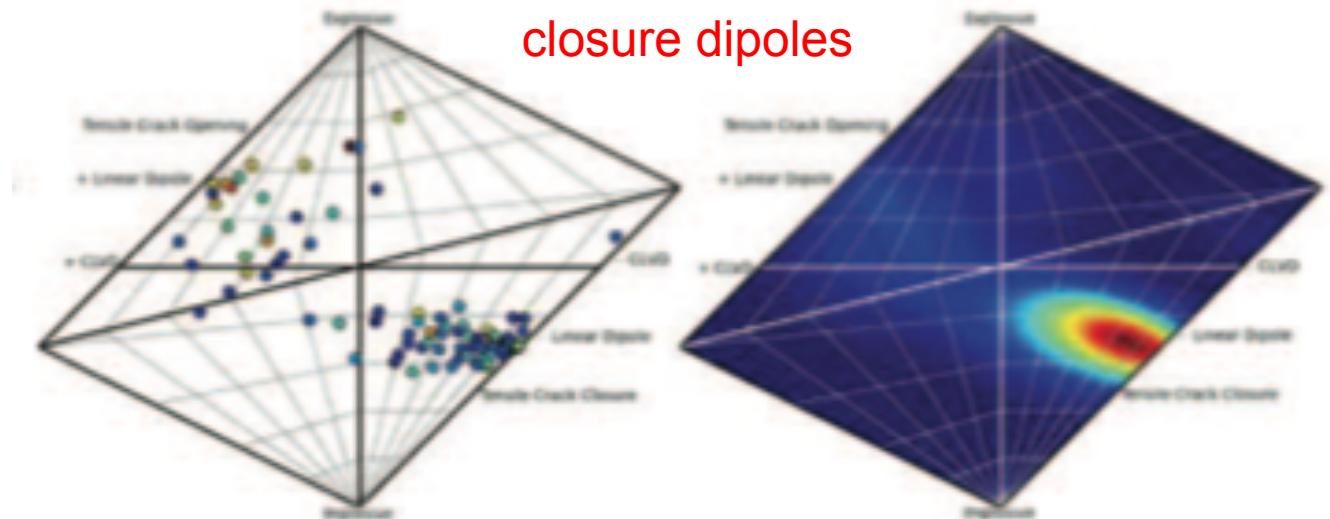
Hydraulic fracturing in sediments

(Baig & Urbancic, 2010)

co-injection

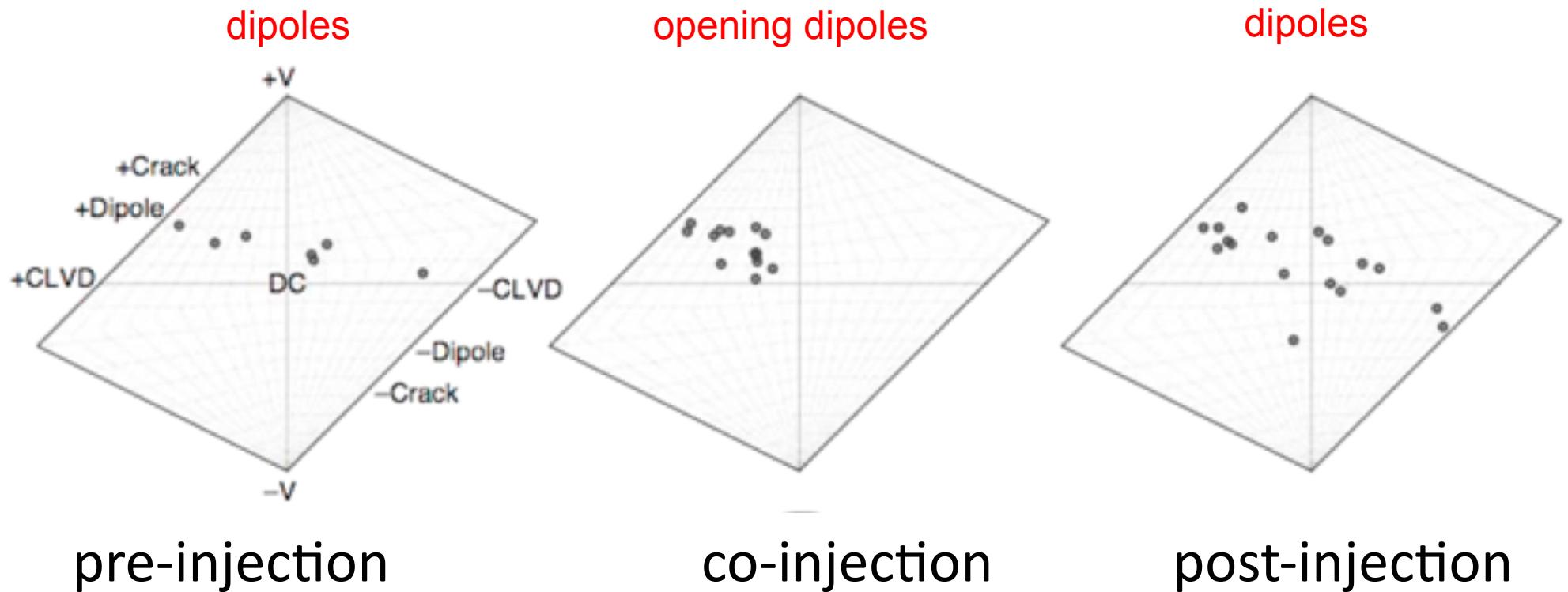


post-injection



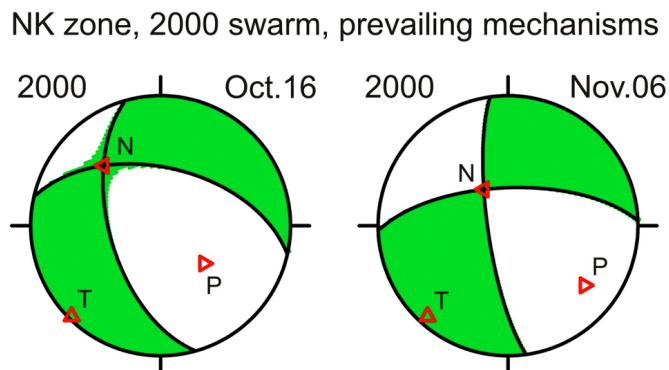
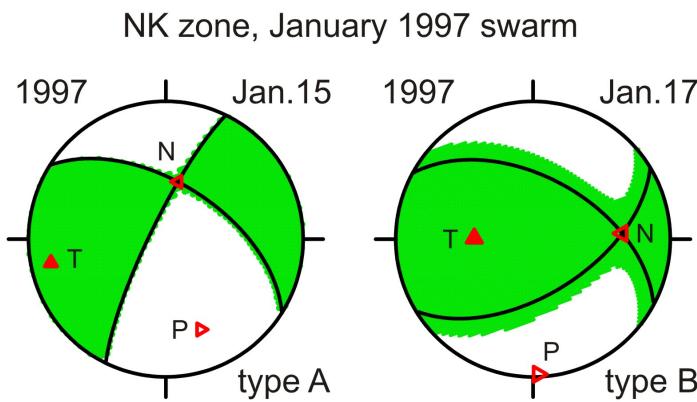
Injection in Coso geothermal field

(Julian et al., 2010)

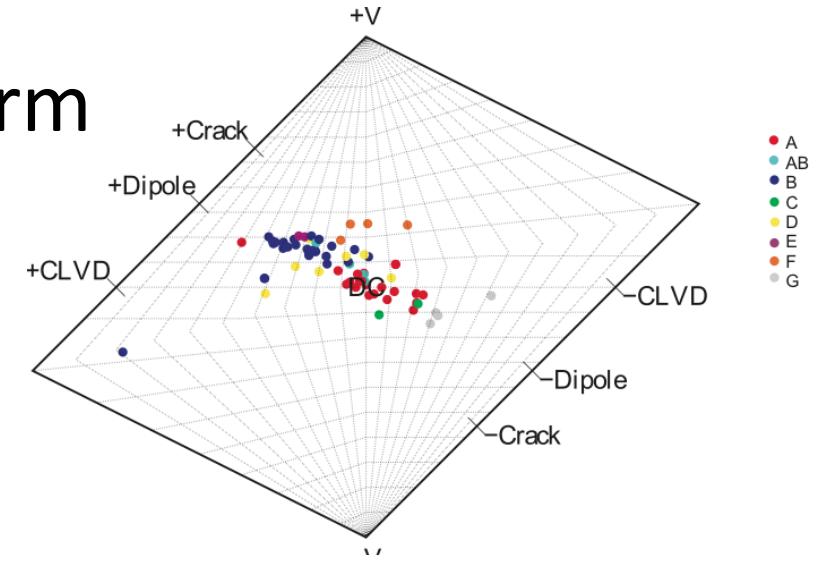


Earthquake swarms in West Bohemia

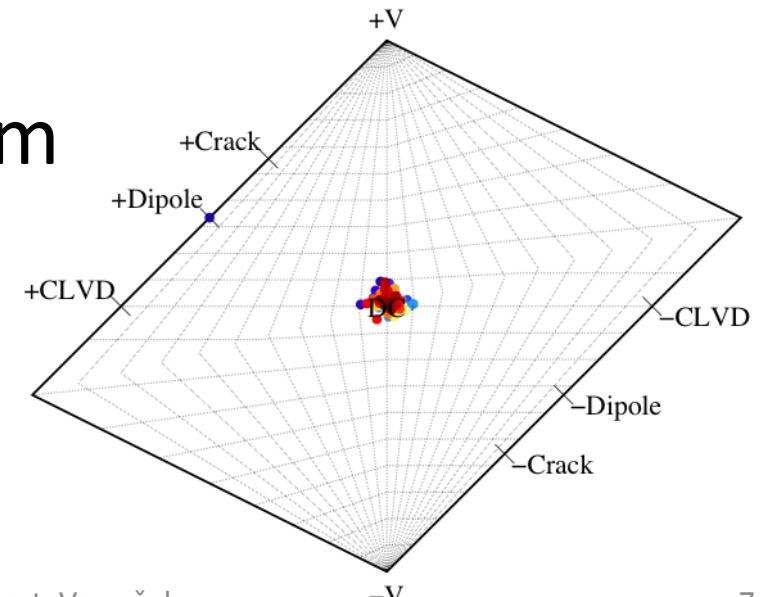
(Horálek et al., 2002; Horálek et al, submitted)



1997 swarm
dipoles

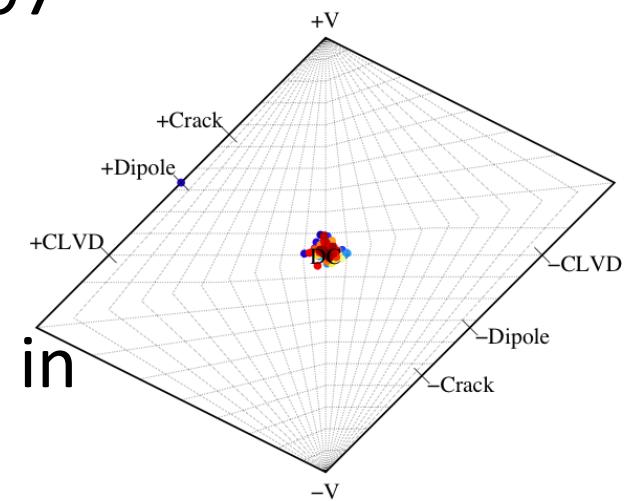
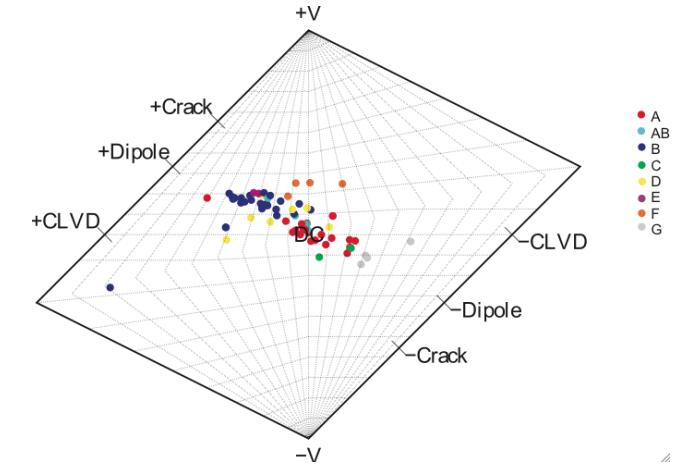


2000 swarm
pure DC



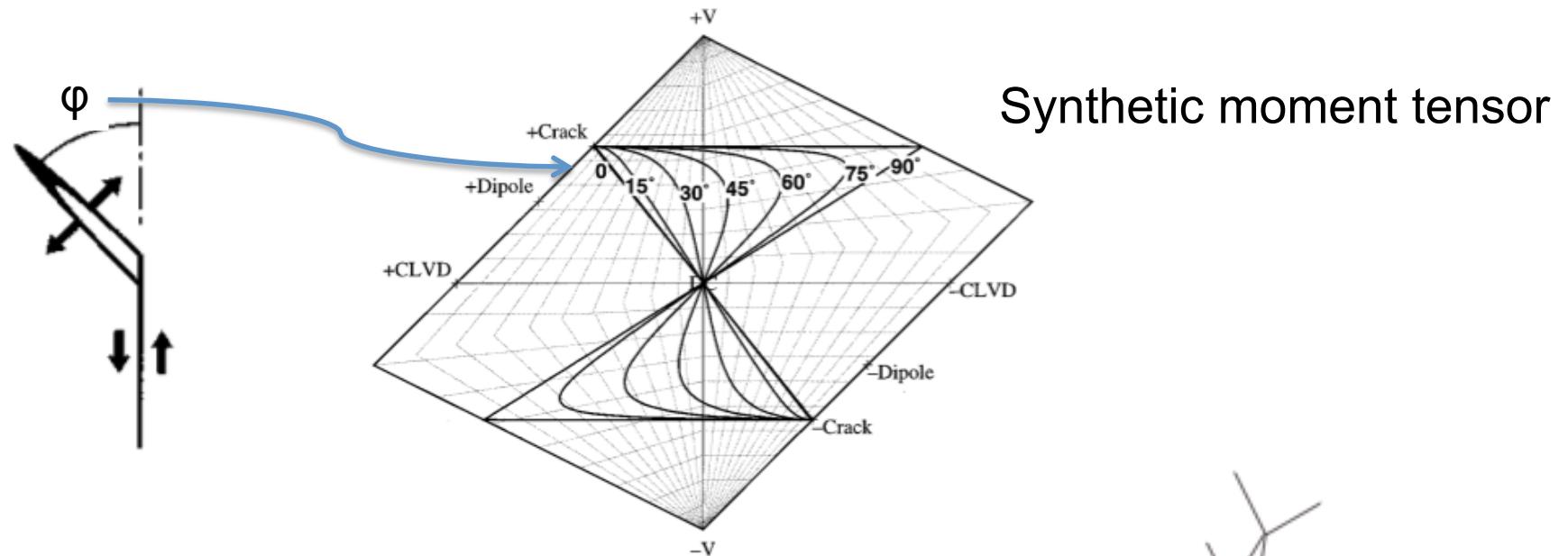
Motivation

- Ambiguous non-DC components of MT of high-pressure related earthquakes
 - Some are **dipoles** (opening, closure)
 - Coso geothermal field, gas fields, 1997 swarm in West Bohemia
 - Some are **pure DC**
 - Soultz geothermal field, 2000 swarm in West Bohemia
- => what is the role of high-pressurized fluid ?

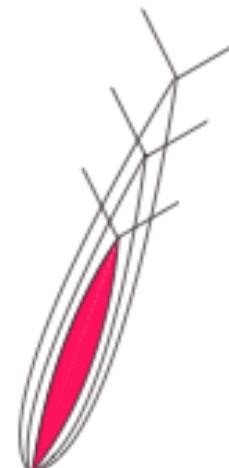


Interpretation of non-DC components

- Combined pure tensile and pure shear faulting
(Julian et al., 1998)

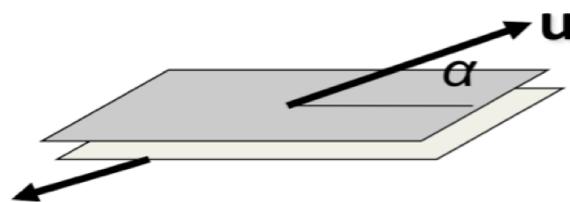


- Shear wing crack (Julian et al, GRL 2010)
pure shear+pure tensile



Interpretation of non-DC components

- Tensile earthquake
(shear+opening – close to reality)
(Dufumier & Rivera, 1997; Vavrycuk, 2001)



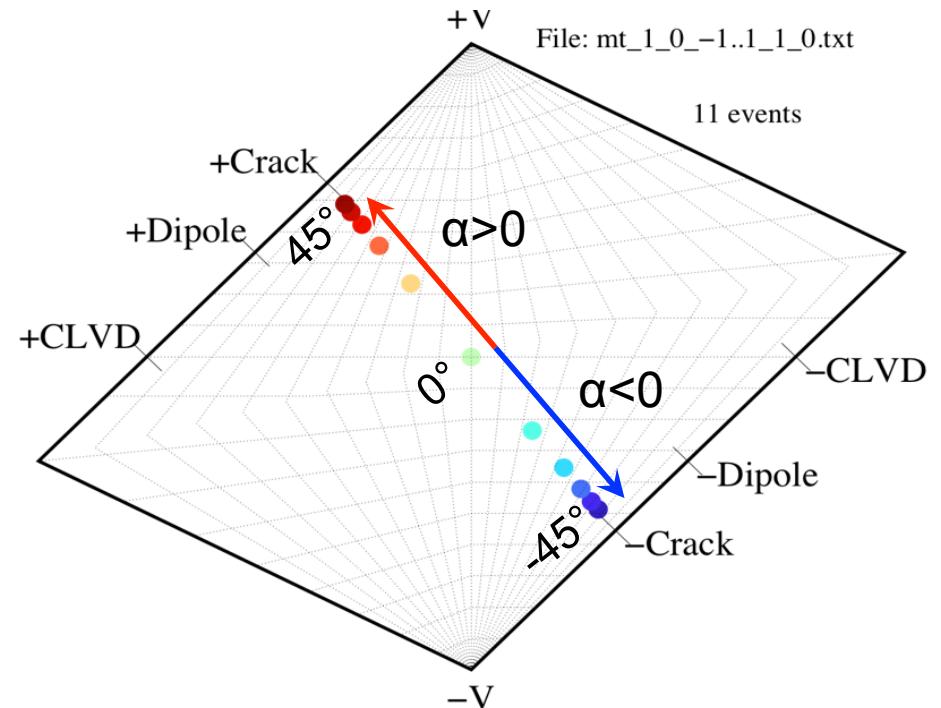
Slip is deviated from the fault

Moment tensor is non-DC
(DC+CLVD+ISO)

Normal stress is extensive

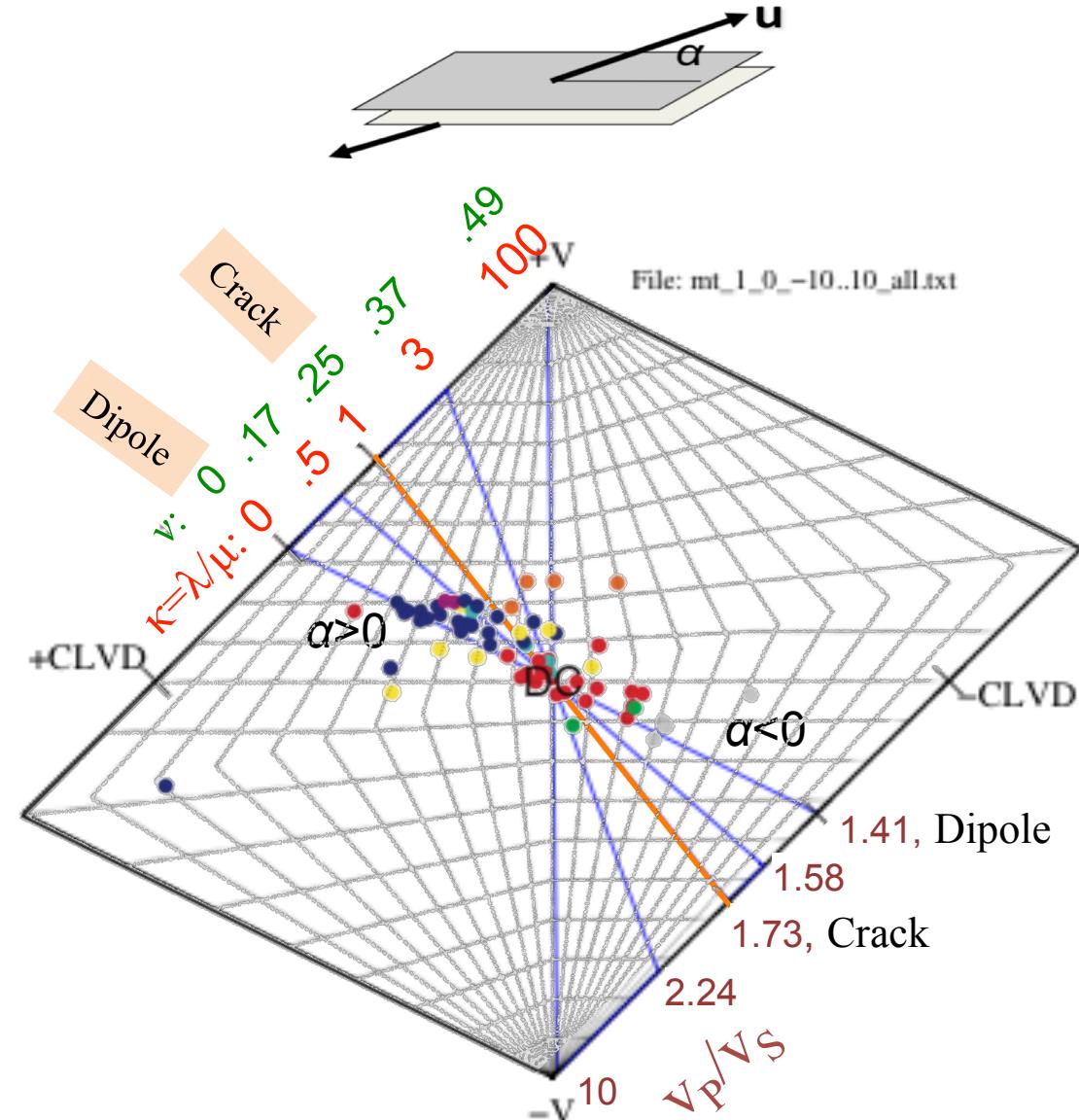
Synthetic moment tensor

$$\mathbf{M} = \begin{bmatrix} \lambda \sin \alpha & 0 & \mu \cos \alpha \\ 0 & \lambda \sin \alpha & 0 \\ \mu \cos \alpha & 0 & (\lambda + 2\mu) \sin \alpha \end{bmatrix}$$



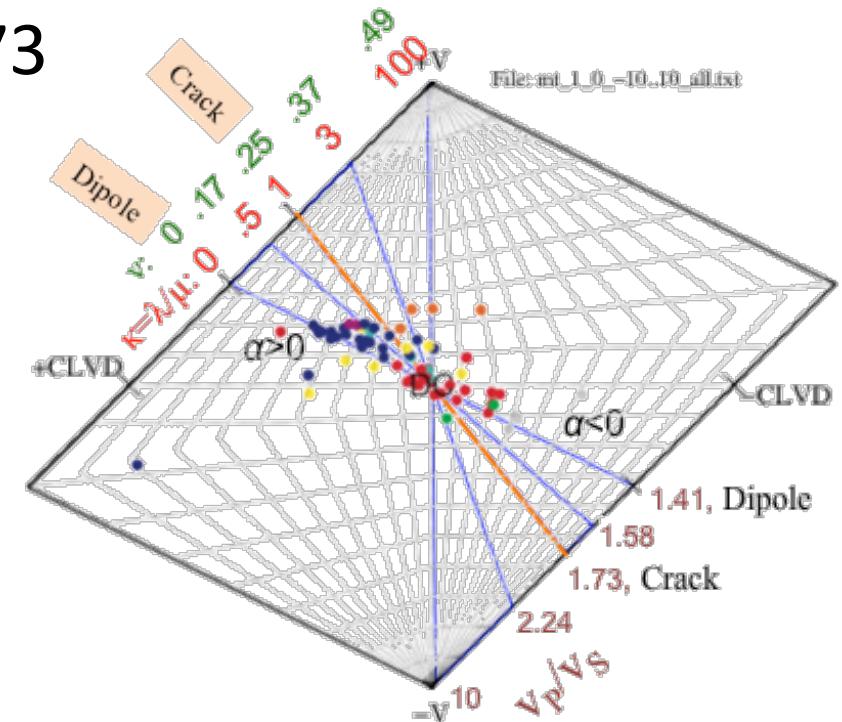
MT of Tensile earthquake

- Poisson solid, $\lambda=\mu$ gives crack faulting (MT=[1 1 3])
- Dipole faulting (MT=[0 1 1]) possible for $\lambda/\mu \rightarrow 0$ (fully compressible)
- Pure volumetric for only $\lambda/\mu \rightarrow \infty$



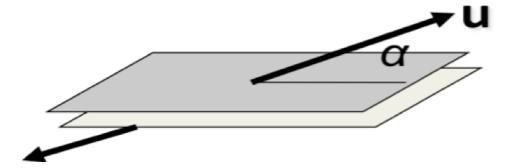
Non-DC components and elastic properties

- Smaller volumetric component (dipole faulting, $\text{MT}=[0\ 1\ 1]$)
possible for $\lambda/\mu \rightarrow 0$, i.e. $v \rightarrow 0$, $v_p/v_s \rightarrow 1.41$
- Higher volumetric component possible for
 $\lambda/\mu > 1$, i.e. $v > 0.25$, $v_p/v_s > 1.73$
- Literature:
 - v_p/v_s depends on pore pressure



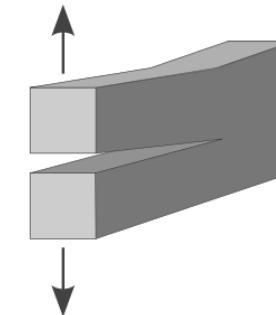
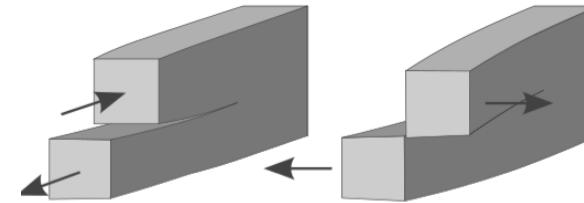
Tensile earthquake

- Simultaneous shear and opening
- Explains MT of injection-induced earthquakes
- Dipole faulting requires small v_p/v_s (non-physical?)
- What are the static-stress conditions for shear+opening?

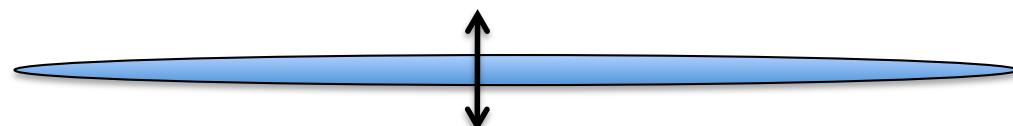


Moment tensors -> geomechanics

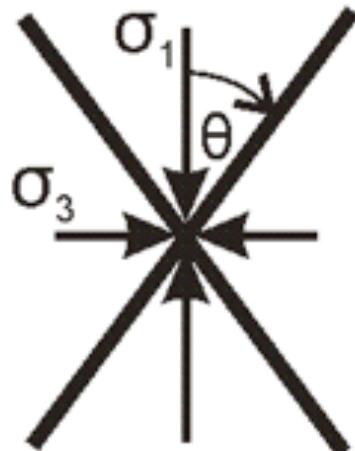
- DC component of MT
→ shearing (Mode 2 and 3 fractures)
- Volumetric component of MT
→ explosion or opening crack (Mode 1 fracture)



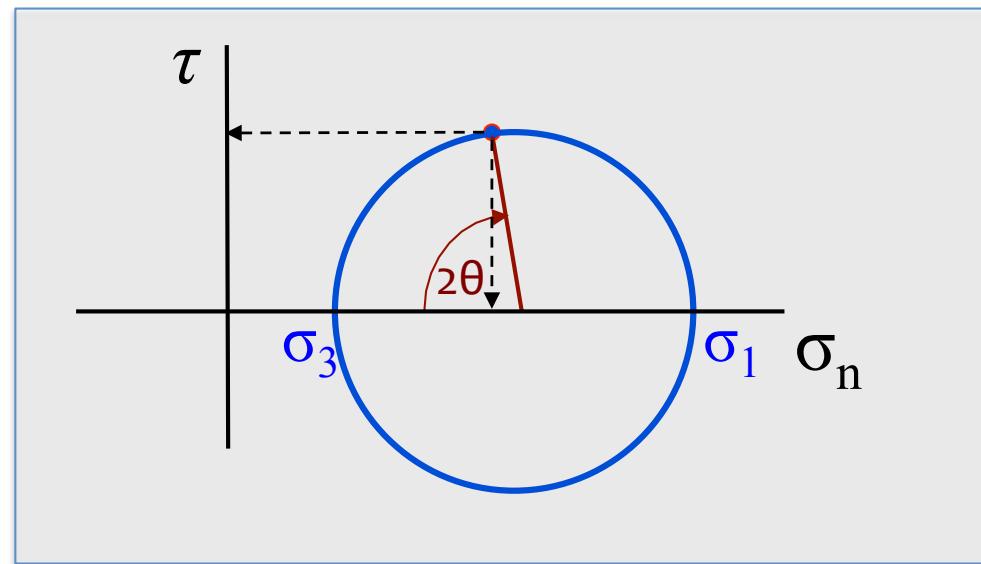
Fracture opening needs extensive normal stress
=> explore the conditions for static tensile stress



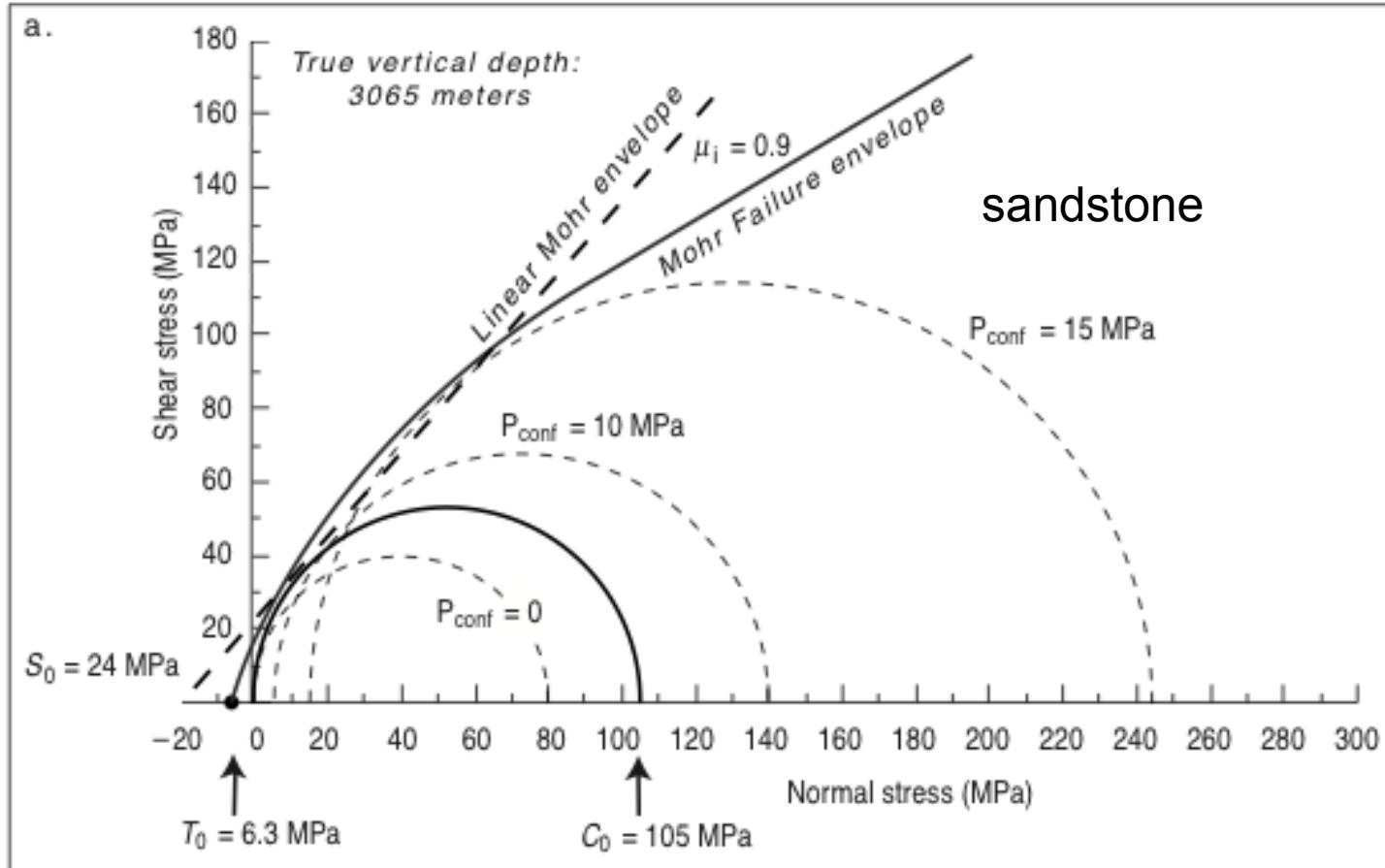
Stress and fractures in Mohr diagram



- Stress state
 - ♦ Fracture
 - ♦ Traction on a fracture



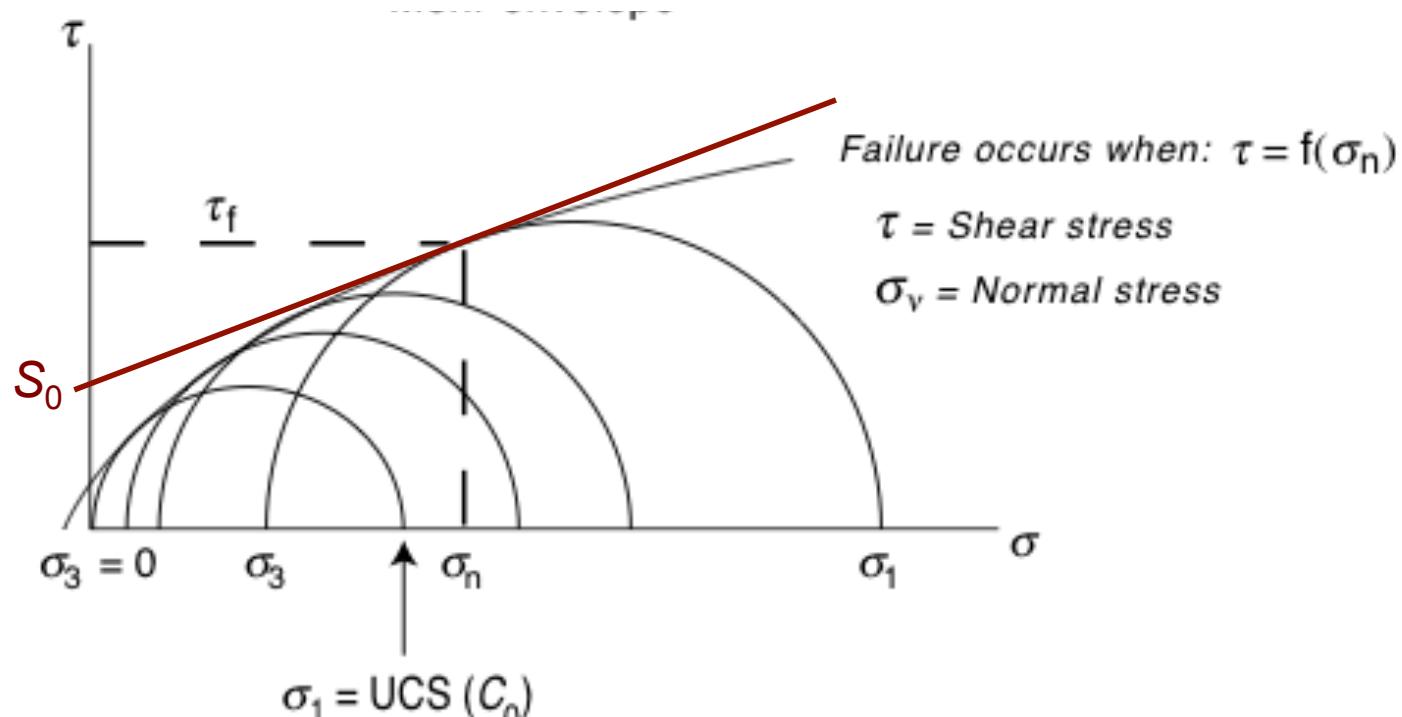
Failure envelope



(Zoback, 2007)

- nonlinear for small and negative normal stress
- linear for larger positive normal stress

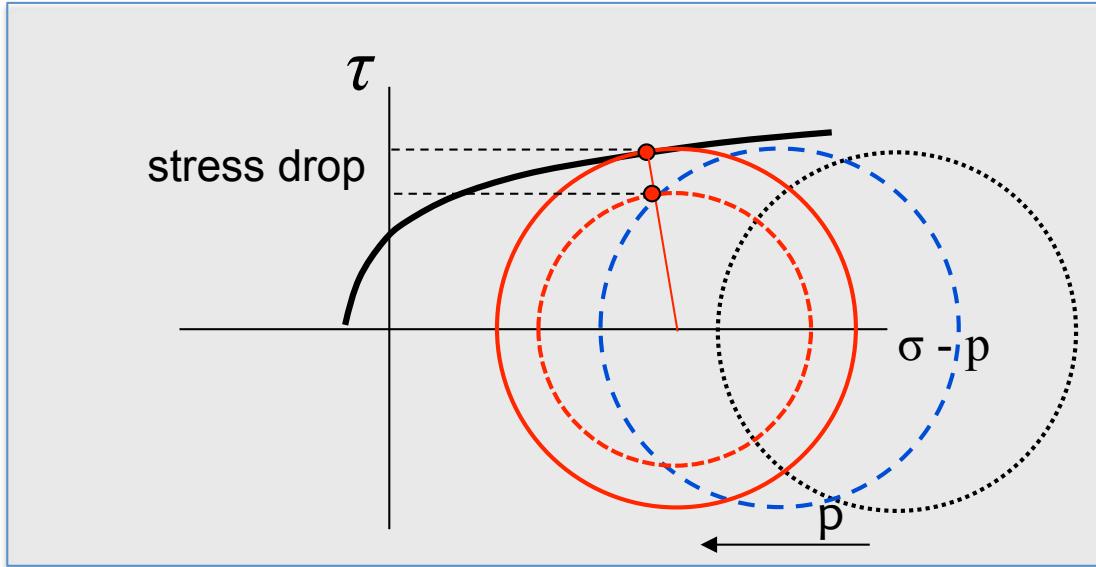
Failure envelope



- real failure envelope is non-linear
- linearized form

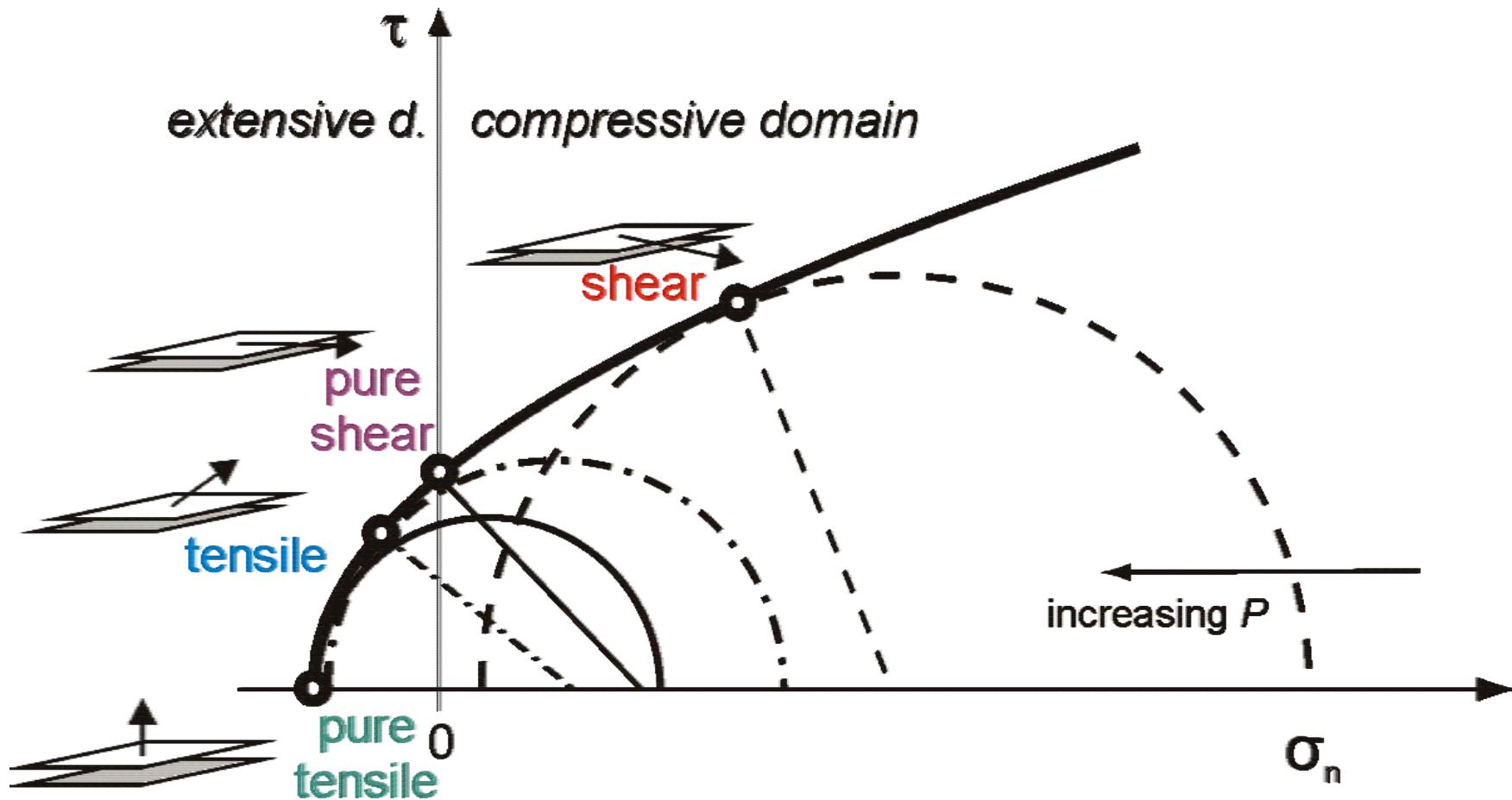
$$\tau_f = S_0 + \mu_i (\sigma_n - P)$$

Rock failure, stress drop



Failure: release of accumulated shear stress
=> stress drop $\Delta\sigma$ (depends on the available τ)
=> decrease of differential stress $\sigma_1 - \sigma_3$

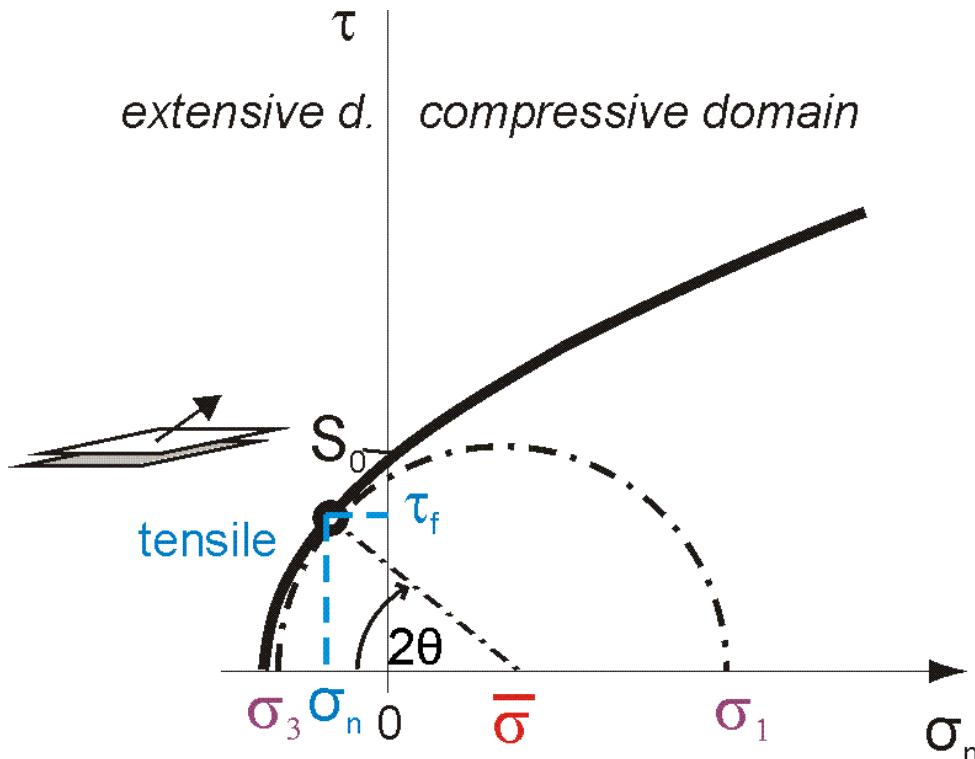
Failure types



Tensile failure possible only for

- small angle θ
- small differential stress $\sigma_1 - \sigma_3$

Failure stresses and fracture orientation



Griffith's failure envelope:

$$\tau_f^2 = S_0(2\sigma_n + S_0)$$

gives the following relations between

- tractions σ_1 and σ_3
- mean and differential stress
- fracture orientation θ

$$\sigma_n = \frac{1}{2}S_0(\tan^2(2\theta) - 1)$$

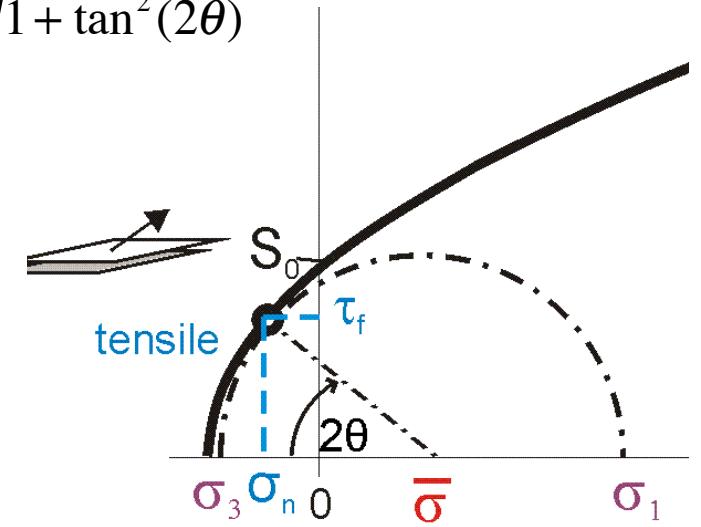
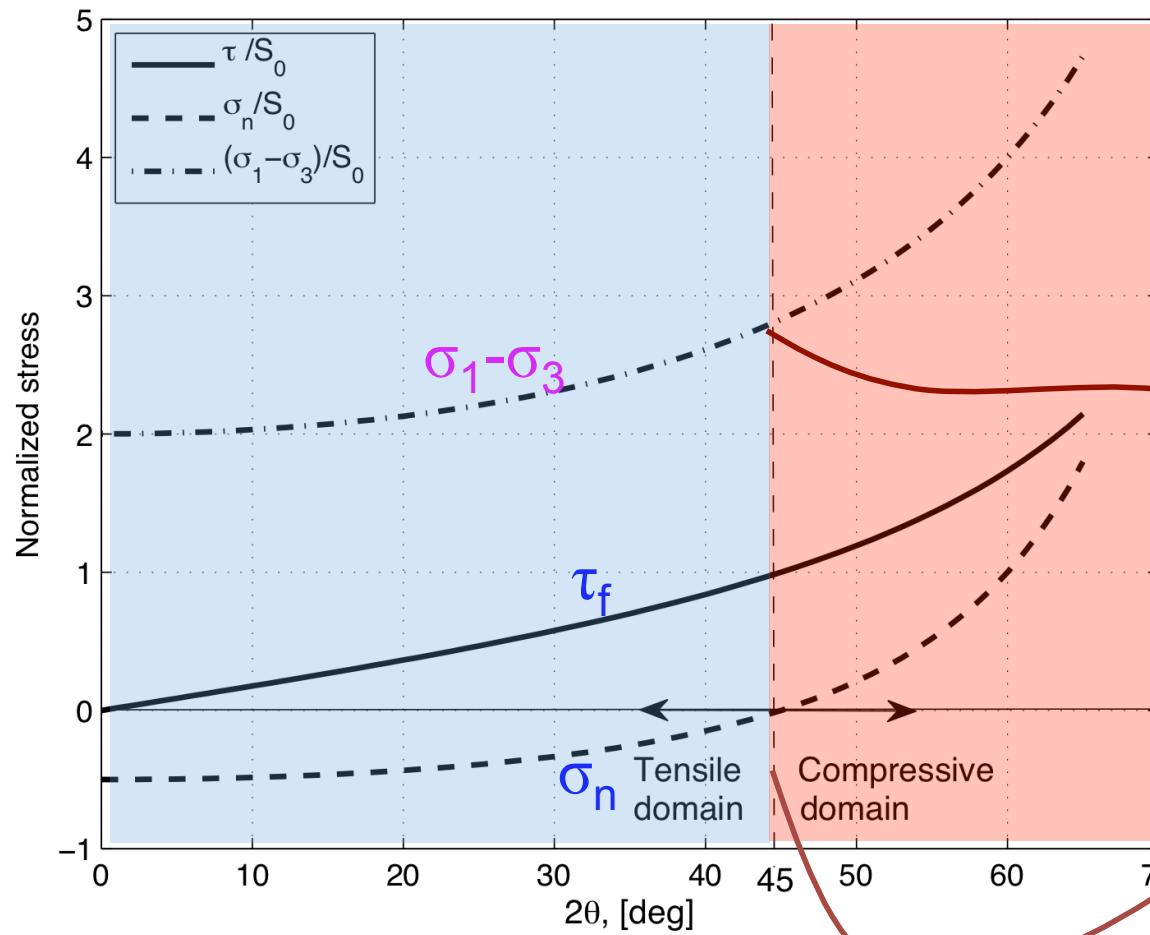
$$\tau_f = S_0 \tan(2\theta)$$

$$\bar{\sigma} = \frac{\sigma_1 + \sigma_3}{2} = S_0 + \sigma_n$$

$$\sigma_{DIFF} = \sigma_1 - \sigma_3 = 2S_0 \sqrt{1 + \tan^2(2\theta)}$$

Failure stresses and fracture orientation

$$\sigma_n = \frac{1}{2} S_0 (\tan^2(2\theta) - 1) \quad \tau_f = S_0 \tan(2\theta) \quad \sigma_1 - \sigma_3 = 2S_0 \sqrt{1 + \tan^2(2\theta)}$$



$$(\sigma_1 - \sigma_3)_{MAX} \approx 2.8S_0$$

$$\theta_{MAX} = 22.5^\circ$$

Tensile failure occurs only

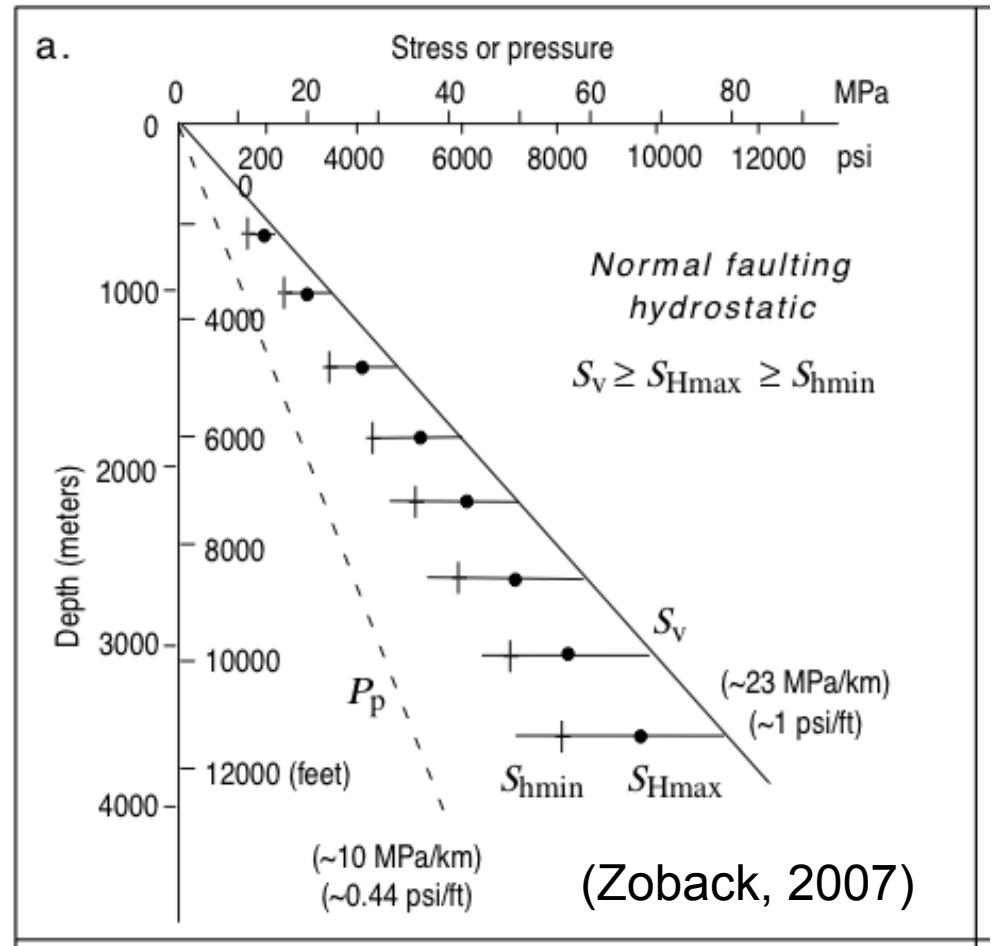
- If diff. stress is small
- On fractures striking less than 22.5° to σ_1

Differential stress $\sigma_1 - \sigma_3$ (in depth)

Tensile earthquakes:

- $(\sigma_1 - \sigma_3)_{MAX} \approx 2.8S_0$
- Intact sandstone: $S_0 \approx 20$ MPa
 $\Rightarrow (\sigma_1 - \sigma_3)_{MAX} \approx 56$ MPa
- Pre-existing fractures:
 $S_0 \approx 0..4$ MPa
 $\Rightarrow (\sigma_1 - \sigma_3)_{MAX} < 10$ MPa
- $\sigma_1 - \sigma_3$ increases with depth

Soultz: $S_{hmin} \approx 14.06 z$ [km]
 $S_v \approx 25.50 z$ [km]



S_{diff} : 11 MPa@1 km; 55 MPa@5km

\Rightarrow depth limit of tensile earthquakes?

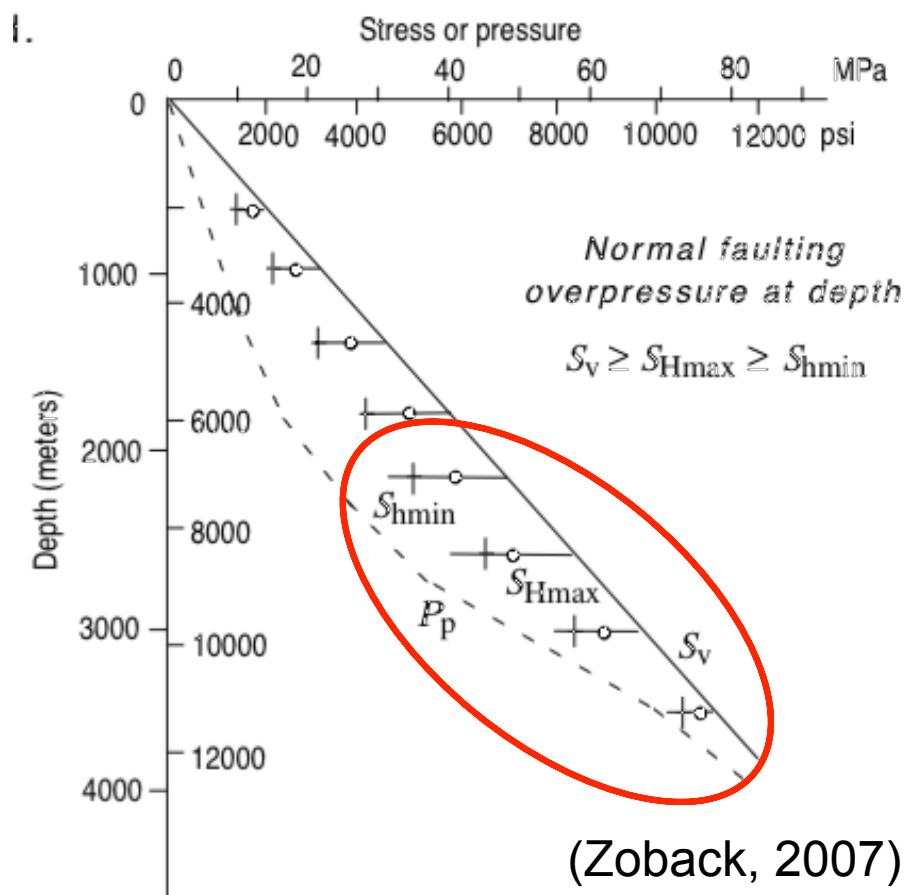
Differential stress $\sigma_1 - \sigma_3$ in depth

Overpressurized formations:

- stepwise release of differential stress during pressure buildup
- $P < S_{h\min}$ to avoid hydrofracturing

=> small differential stress at present time !

=> NO LIMIT of depth occurrence of tensile events in overpressurized formations !!



$$\begin{aligned}\sigma_{\text{diff}} &= \sigma_1 - \sigma_3 = S_1 - P - (S_3 - P) \\ &= S_1 - S_3\end{aligned}$$

Small differential stress $\sigma_1 - \sigma_3$ in overpressurized formation

How it happened?

- sealed formations - pressure buildup in geological past
- step-wise release of stress on optimally oriented fractures

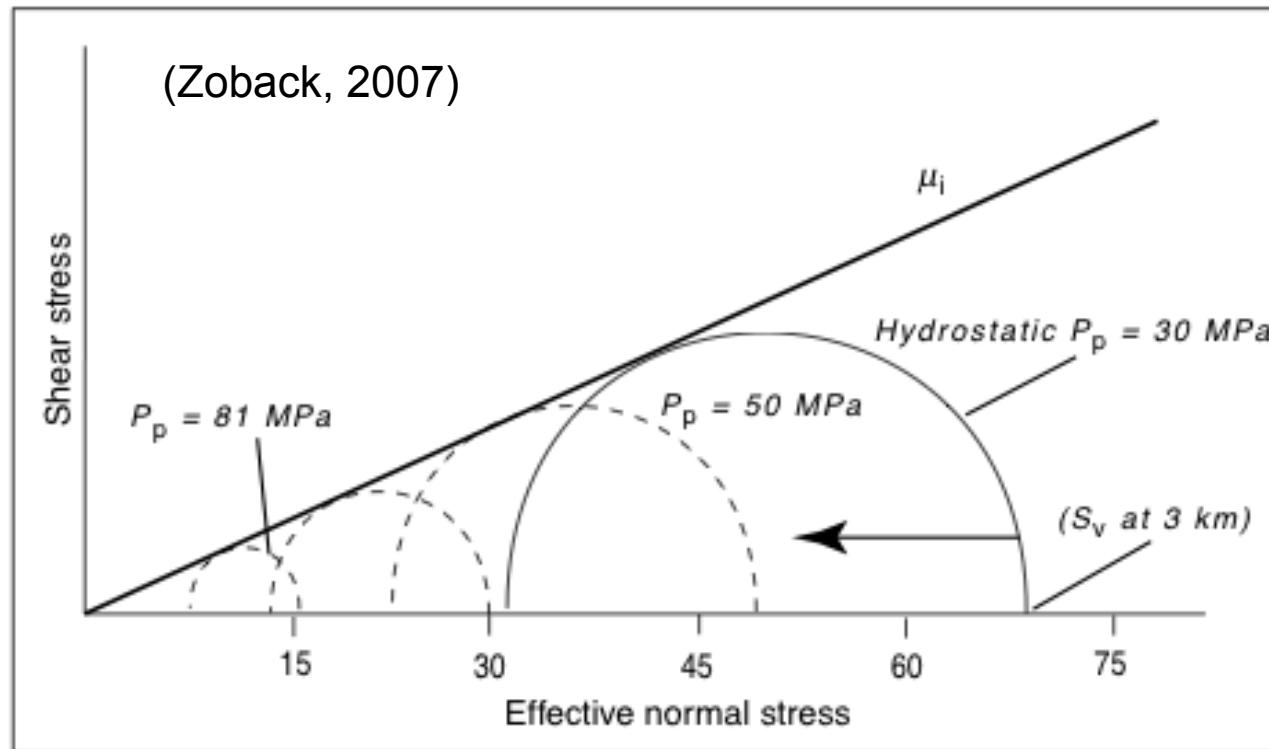


Figure 4.30. In terms of frictional faulting theory, as pore pressure increases (and effective stress decreases), the difference between the maximum and minimum effective principal stress (which defines the size of the Mohr circle) decreases with increasing pore pressure at the same depth.

Scenario

Fluid injection in

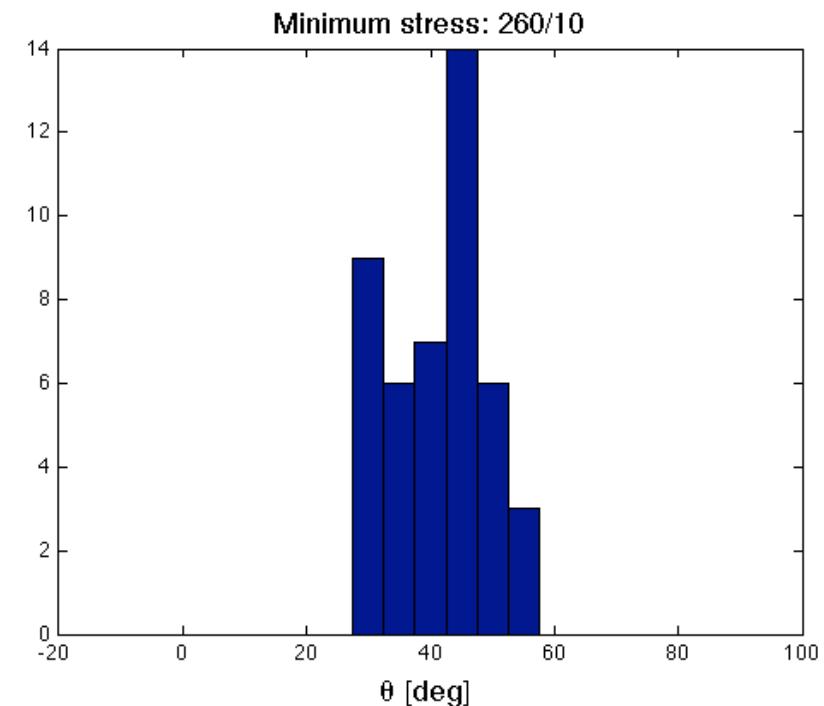
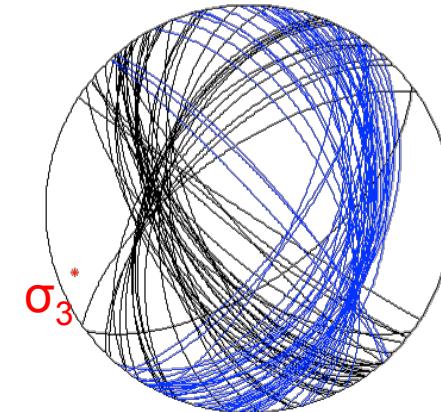
- Hydrostatic conditions
 - tensile failure is limited to small depths only on fractures trending <22.5° off SHmax
 - other fractures fail in shear mode
- Overpressured formation
 - tensile failure is possible at any depth for fractures trending <22.5° off SHmax
 - other fractures fail in shear mode

Example 1: Soultz-sous-Forêts focal mechanisms

- Horálek et al. (2010) – 2003 injections
 - 45 full MT – dip slip/strike slip
- Valley & Evans (2007)
 - $0.90 \cdot \text{Sv} \leq \text{SHmax} \leq 1.05 \cdot \text{Sv}$
 - SHmax 169° ; unconstrained plunge
- Cuenot et al. (2005)
 - stable subhorizontal σ_3 , NE-SW

=>

- use σ_3 of $260^\circ/10^\circ$
 - get θ between σ_3 and fault normal
- $\theta \approx 41^\circ$



Example 1: Soultz-sous-Forêts stress and fault failure

Stress @ 4.7 km depth (Valley & Evans, 2007):

- $P_{\text{formation}} = 47 \text{ MPa}$ (hydrostatic)
- $S_{H\text{min}} = 64 \text{ MPa}$
- $S_v = 118 \text{ MPa}$
- $S_{H\text{max}} = 0.9 \dots 1.05 S_v$
- $P_{\text{net}} < 15 \text{ MPa}$ (Tischner et al, 2007)

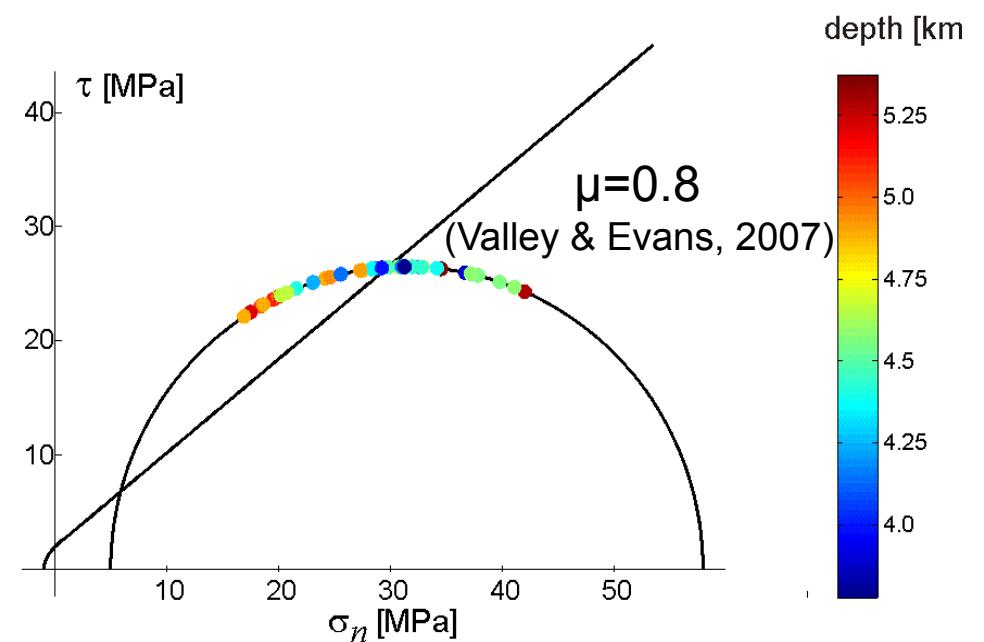
=>

$$\begin{aligned}\sigma_{\text{mean}}^{\text{eff}} &= 31 \text{ MPa} \\ \sigma_{\text{diff}} &= 53 \text{ MPa} \\ (\text{? smaller near fractures?})\end{aligned}$$

=> shear faulting, because

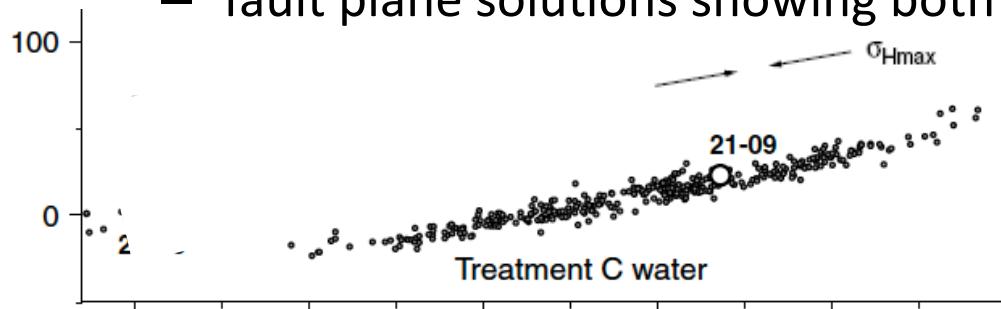
- $\sigma_3 = 5 \text{ MPa}$ (positive)
- σ_{diff} too large
- $\theta \approx 41^\circ$ too large

Shear faulting agrees with pure-DC events of Horálek et al. (GJI 2010)

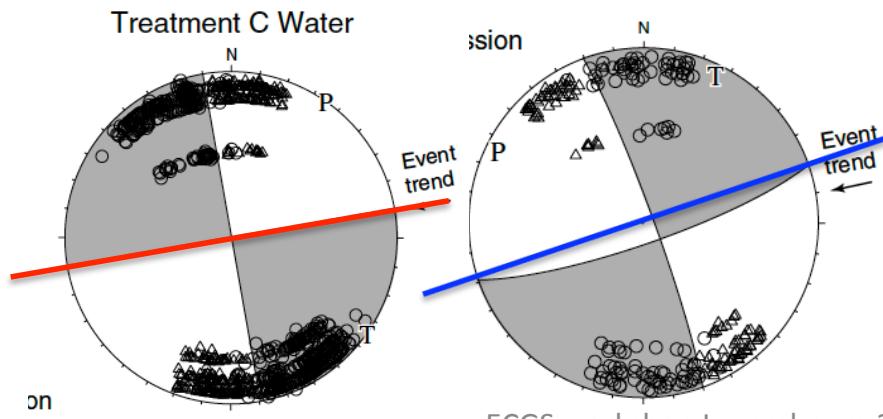


Example 2: Cotton Valley injection focal mechanisms

- Sandstone formation with many natural fractures, shale interbeds
- Gas reservoir => probably overpressured
- Rutledge et al. (2004):
 - narrow bands of seismicity along vertical fractures trending close SH_{max}
 - fault plane solutions showing both **left-** and **right-** lateral strike-slips



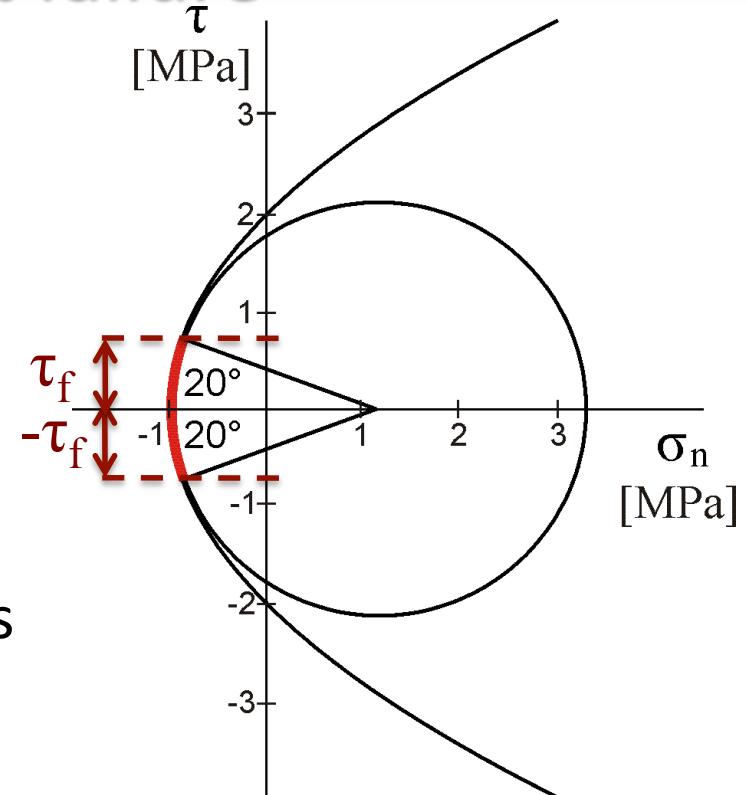
Alternating opposite slip on
sub-parallel fractures
($<10^\circ$ difference in strike)



=> small shear stress
=> negative normal stress?

Example 2: Cotton Valley injection stress and fault failure

- Opposite shears on faults striking within $\pm 10^\circ$ possible only if $\sigma_n < 0$
- Assuming cohesion $S_0 = 2 \text{ MPa}$
 - $|\tau_f| < 0.7 \text{ MPa} \Rightarrow$ small stress drops
 - $-1 \text{ MPa} < \sigma_n < -0.97 \text{ MPa}$

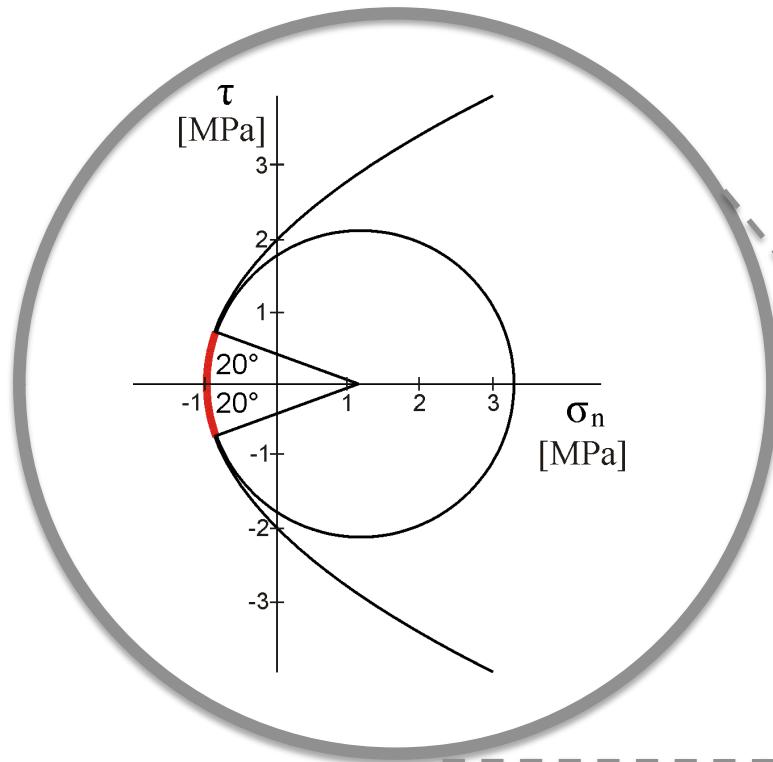


=> Opposite shears in DC-constrained mechanisms prove extensional failure mode and suggest large non-DC component of induced events

Confirmed by Šílený et al. (2008):
full MTs of Cotton Valley events show up to 50% of non-DC

Cotton Valley x Soultz-sous-Forets

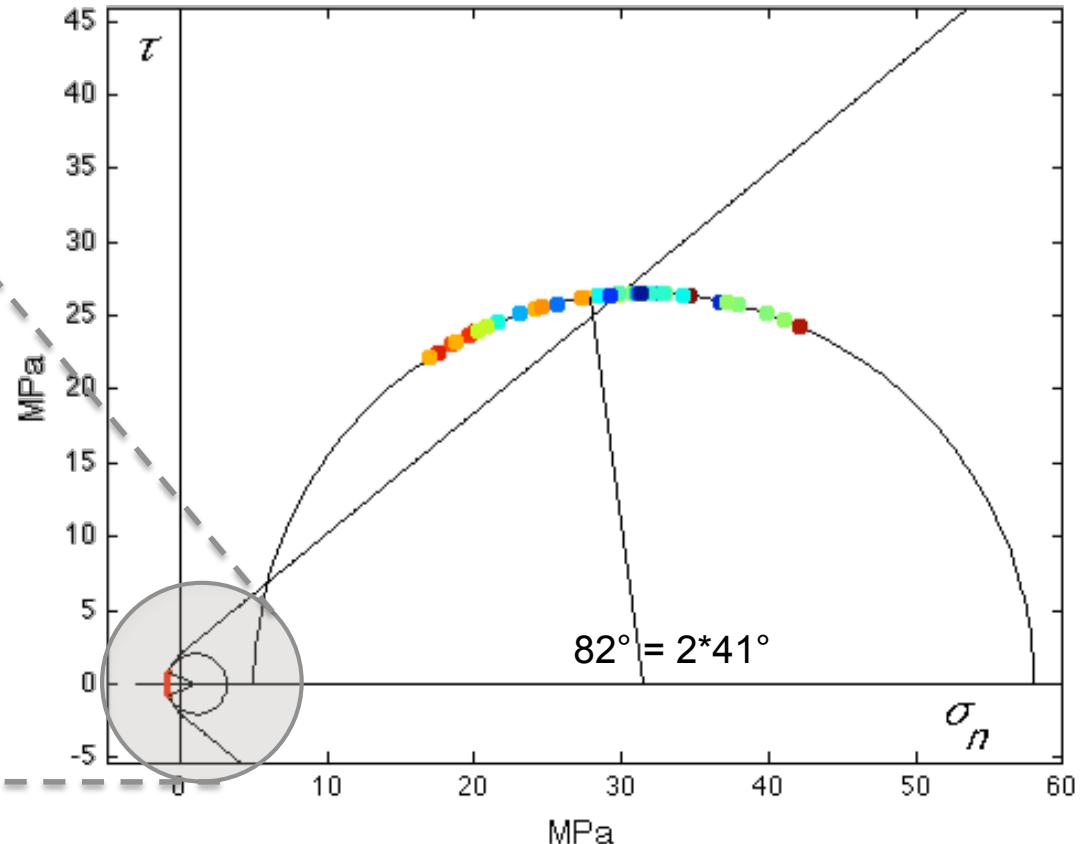
overpressured x hydrostatic



small shear stress τ



small stress drop $\Delta\sigma$?



large shear stress τ



large stress drop $\Delta\sigma$?

Conclusions

- Tensile earthquake explains crack and dipole MTs and fits the stress components resolved
- Non-linear failure envelope explains stress for non-DC events
- Any fracture with $\sigma_n < 0$ shows shear component, “tensile earthquake”
- Tensile eq. is possible only for fracs within small angles and small $\sigma_1 - \sigma_3$ ($\theta < 22.5^\circ$ off $S_{H\max}$ and $\sigma_1 - \sigma_3 < 2.8 S_0$)
- Small $\sigma_1 - \sigma_3$ possible in small depths or in naturally overpressurized formations
- Tensile earthquakes should have small stress drops (verify by observations)
- The pure-DC character of the Soultz-sous-Forêts events is caused by high differential stress and/or fractures oriented optimally for shear
- The non-DC character of the Cotton Valley events is due to the small differential stress and/or fractures oriented optimally for tensile opening

References

Acknowledgements

- Horálek J., Jechumtállová Z., Dorbath L., Šílený J. (2010). Source mechanisms of micro-earthquakes induced in a fluid injection experiment at the HDR site Soultz-sous-Forêts (Alsace) in 2003 and their temporal and spatial variations. *Geophys. J. Int.*, **181**, 1547–1565.
- Rutledge, J.T., Phillips, W.S., and Mayerhofer, M.J. (2004), Faulting induced by forced fluid injection and fluid flow forced by faulting: An interpretation of hydraulic-fracture microseismicity, Carthage Cotton Valley gas field, Texas, *Bull. Seismol. Soc. Am.*, **94**, 1817–1830.
- Valley, B. & Evans, K. F., 2007. Stress state at Soultz to 5km depth from wellbore failure and hydraulic observations, *Thirty-Second Workshop on Geothermal Reservoir Engineering Stanford University, Stanford, California, January 22-24, 2007 SGP-TR-183*.

More details in

- Fischer T. and Guest A. (2010). Shear and tensile earthquakes caused by fluid injection, *Geoph. Res. Lett.*, submitted.